UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 14A INFORMATION

Proxy Statement Pursuant to Section 14(a) of the Securities Exchange Act of 1934

Filed by the Registrant

Filed by a Party other than the Registrant \Box

Check the appropriate box:

- Preliminary Proxy Statement
- □ Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))
- Definitive Proxy Statement
- Definitive Additional Materials
- □ Soliciting Material Pursuant to §240.14a-12

Hercules Capital, Inc.

(Name of Registrant as Specified in Its Charter)

(Name of Person(s) Filing Proxy Statement if Other Than the Registrant)

Payment of Filing Fee (Check all boxes that apply):

- No fee required
- □ Fee paid previously with preliminary materials
- Fee computed on table in exhibit required by Item 25(b) per Exchange Act Rules14a-6(i)(l) and 0-11



According to our latest records, we have not received your voting instructions!

Important proxy voting material is ready for your action.

As an investor in this security, you have the right to vote on important issues. Make your voice heard now!

Ways to Vote



Control Number: 0123456789012345 **Account Number:** 3456789012345678901 **Meeting Date:** June 23, 2022

Important Materials : Proxy Statement <u>10-K Report</u>

Attend the Virtual Meeting

Attend the Meeting

For holders as of April 25, 2022 CUSIP: 427096508

Why Should I Vote?

Make your voice heard on critical issues like board elections and executive compensation. The outcome of the vote can affect the value of your shares.



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