## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| PALO ALTO, CA 94301    City   City   City   City   City   City   City   Code   Code   City   Code   Code   City   Code   Code   City   Code    | (Print or Ty  | pe Response   | s)               |                                   | •  |  |                      |                        |                               |                                 |   |                                    |                                      |  |   |                                   |
|--|---|---|------------------|-----------------------------------|--|--|----------------------|------------------------|-------------------------------|---------------------------------|---|------------------------------------|--------------------------------------|--|---|-----------------------------------|
| CO HERCULES TECHNOLOGY GROWTH CAPITAL,, 400 HAMILTON AVE, SUITE 310  (Sirrec)  A. If Amendment, Date Original Filed/Month/Day/Year)  (City)  (Shate)  (Shate)  (Since)  A. If Amendment, Date Original Filed/Month/Day/Year)  (Month/Day/Year)  (Month |   |   |                  |                                   | HERCULES TECHNOLOGY GROWTH                           |  |                      |                        |                               |                                 | (Check all applicable)  _X_ Director10% Owner  X_ Officer (give title below)Other (specify below) |                                    |                                      |  |   |                                   |
| PALO ALTO, CA 94301  (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Form filed by More than One Reporting Person filed by More than One Reported Following Reported Tanasaction for filed (Instr. 4)  In Title of Scurities Acquired (A) or Disposed of the Code of One Person than One Reported Tanasaction for filed (Instr. 4)  In Title of Scurities Acquired (A) or Disposed of the Code of One Person than One Reported Tanasaction  | C/O HERCULES TECHNOLOGY GROWTH<br>CAPITAL,, 400 HAMILTON AVE, SUITE |   |                  |                                   | ` · · · · ·  |  |                      |                        |                               |                                 | P   | resident & C                       | LEO                                  |  |   |                                   |
| Common Stock   Date   (Month/Day/Year)   (Month/D   | PALO A  | LTO, CA   | ` ′              |                                   | 4. If Amendment, Date Original Filed(Month/Day/Year) |  |                      |                        |                               |                                 |   |                                    |                                      |  |   |                                   |
| Common Stock   Date (Month/Day/Year)   Date (Mont   | (City   | )   | (State)          | (Zip)                             | Т  | able I -   | - Nor                | ı-Der                  | ivative S                     | Securitie                       | s Acqu  | ired, Disp                         | osed of, or l                        | Beneficially   | Owned   |                                   |
| Common Stock   O3/04/2014   F   O3/04/2014   O3/04/2014   F   O3/04/2014   O3/04/2   |   |   |                  | Date                              | Execution Date, is any                               | f Code<br>(Instr. 8)   |                      | ction                  | (A) or Disposed of (I         |                                 | of (D)  | Beneficia<br>Reported              | ly Owned Following<br>Transaction(s) |  | Ownership<br>Form:  | Beneficial                        |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.    Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.    Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)   1. Title of   2.   |   |   |                  |                                   | (Monun/Day/ Year                                     |  | ode                  | V                      | Amoun                         | or                              | Price   | (IIISIT. 3 a                       | ma 4)                                |  | or Indirect   | (Instr. 4)                        |
| Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.   SEC 1474 (Contained in this form are not required to respond unless the form displays a currently valid OMB control number.   | Common Stock 03/04/   |   | 03/04/2014       |                                   |  | 7  |                      | -                      |                               | \$<br>15.57                     | 1,673,645   |                                    |                                      | D  |   |                                   |
| 1. Title of Derivative Security (Instr. 3)  In the of Derivative Security (Instr. 4)  In the of Derivative Security (Instr. 5)  In the of Derivative Securit | Reminder:   | Report on a s                                       | separate line fo | Table II -                        | Derivative Securi                                    | ies Acc  | quire                | Pers<br>cont<br>the f  | ons whained in orm dis        | no responding this for splays a | orm are<br>curre  | e not requ<br>ntly valid           | uired to res<br>OMB con              | spond unle   | ess   | 1474 (9-02)                       |
| Exercisable Date Title Number of   | Derivative<br>Security  | Conversion<br>or Exercise<br>Price of<br>Derivative | Date             | 3A. Deemed<br>Execution Da<br>any | 4. Transaction Code                                  | 5.<br>Numb<br>of<br>Deriva<br>Securi<br>Acqui<br>(A) or<br>Dispos<br>of (D)<br>(Instr. | ative ities ired sed | 6. Da<br>and I<br>(Mon | ate Exer<br>Expirationth/Day/ | cisable<br>on Date<br>'Year)    | 7. T<br>Ame<br>Und<br>Sect<br>(Ins<br>4)  | ount of derlying urities tr. 3 and | Derivative<br>Security               | Derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported<br>Transaction | Owners<br>Form o<br>Derivat<br>Security<br>Direct (<br>or Indir | Benefici<br>Ownersl<br>(Instr. 4) |

### **Reporting Owners**

|   | Relationships |              |                 |       |  |  |
|---|---------------|--------------|-----------------|-------|--|--|
| Reporting Owner Name / Address  | Director      | 10%<br>Owner | Officer         | Other |  |  |
| Henriquez Manuel A<br>C/O HERCULES TECHNOLOGY GROWTH CAPITAL,<br>400 HAMILTON AVE, SUITE 310<br>PALO ALTO, CA 94301 | X             |              | President & CEO |       |  |  |

#### **Signatures**

| /s/ Michael Penney, Attorney-in-Fact for Manuel Henriquez | 03/06/2014 |
|---|------------|
| Signature of Reporting Person                             | Date       |

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on March 4, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.