| FORM 4 | 4 |
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| Check this box if no  |
|-----------------------|
| longer subject to     |
| Section 16. Form 4 or |
| Form 5 obligations    |
| may continue. See     |
| Instruction 1(b).     |

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type Responses)  |                                      |  |                                |             |              |   |                    |  |  |                         |
|--|--------------------------------------|--|--------------------------------|-------------|--------------|---|--------------------|--|--|-------------------------|
| 1. Name and Address of Reporting Per<br>Bluestein Scott              | 2. Issuer Name an<br>Hercules Capita |  |                                | 0,          | nbol         | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner  |                    |  |  |                         |
| (Last) (First)<br>C/O HERCULES CAPITAL, I<br>JAMES AVENUE, SUITE 790 | 3. Date of Earliest 01/14/2016       | Transactio   | n (M                           | onth/Day/   | Year)        | X  Officer (give title below)  Other (specify below)    Chief Investment Officer  |                    |  |  |                         |
| (Street)<br>BOSTON, MA 02116   | 4. If Amendment, 1<br>01/19/2016     | Date Origii  | nal Fi                         | iled(Month/ | Day/Yea      | 6. Individual or Joint/Group Filing(Check Applicable Line)<br>_X_Form filed by One Reporting Person<br>Form filed by More than One Reporting Person |                    |  |  |                         |
| (City) (State)   | (Zip)                                | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                                |             |              |   |                    |  |  |                         |
| 1.Title of Security<br>(Instr. 3)                                    | (Month/Day/Year)                     | Execution Date, if any   | (Instr. 8) (Instr. 3, 4 and 5) |             |              | ispose  | d of (D)           | 5. Amount of Securities<br>Beneficially Owned Following<br>Reported Transaction(s) |  | Beneficial              |
|  |                                      | (Month/Day/Year)   | Code                           | v           | Amount       | (A)<br>or<br>(D)  | Price              | (Instr. 3 and 4)   | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | Ownership<br>(Instr. 4) |
| Common Stock   | 01/14/2016                           |  | F                              |             | 2,130<br>(1) | D   | \$<br>13.83<br>(2) | 249,696  | D  |                         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1474 (9-02) Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

| (e.g., puts, calls, warrants, options, convertible securities) |             |                  |                    |            |          |            |                       |              |                     |                  |          |             |                |             |             |
|--|-------------|------------------|--------------------|------------|----------|------------|-----------------------|--------------|---------------------|------------------|----------|-------------|----------------|-------------|-------------|
| 1. Title of  | 2.          | 3. Transaction   | 3A. Deemed         | 4.         |          | 5.         |                       | 6. Date Exer | cisable             | 7. Tit           | le and   | 8. Price of | 9. Number of   | 10.         | 11. Nature  |
| Derivative   | Conversion  | Date             | Execution Date, if | Transacti  | on       | Numl       | ber                   | and Expirati | on Date             | Amo              | unt of   | Derivative  | Derivative     | Ownership   | of Indirect |
| Security   | or Exercise | (Month/Day/Year) | any                | Code       |          | of         | of (Month/Day/Year) U |              | Underlying Security |                  | Security | Securities  | Form of        | Beneficial  |             |
| (Instr. 3)   | Price of    |                  | (Month/Day/Year)   | (Instr. 8) |          | Deriv      | ative                 | · · · ·      |                     | Securities (Inst |          | (Instr. 5)  | Beneficially   | Derivative  | Ownership   |
|  | Derivative  |                  |                    |            |          | Secur      |                       |              |                     | (Instr           | : 3 and  |             | Owned          | Security:   | (Instr. 4)  |
|  | Security    |                  |                    |            |          | Acqu       |                       |              |                     | 4)               |          |             | 0              | Direct (D)  |             |
|  |             |                  |                    |            |          | (A) or     |                       |              |                     |                  |          |             | 1              | or Indirect |             |
|  |             |                  |                    |            | Disposed |            |                       |              |                     |                  |          |             | Transaction(s) |             |             |
|  |             |                  |                    |            |          | of (D)     |                       |              |                     |                  |          |             | (Instr. 4)     | (Instr. 4)  |             |
|  |             |                  |                    |            |          | (Instr. 3, |                       |              |                     |                  |          |             |                |             |             |
|  |             |                  |                    |            |          | 4, and 5)  |                       |              |                     |                  |          |             |                |             |             |
|  |             |                  |                    |            |          |            |                       |              |                     |                  | Amount   |             |                |             |             |
|  |             |                  |                    |            |          |            |                       | Date         | Expiration          |                  | or       |             |                |             |             |
|  |             |                  |                    |            |          |            |                       | Exercisable  | *                   | Title            | Number   |             |                |             |             |
|  |             |                  |                    |            |          |            |                       | LACICISADIC  | Date                |                  | of       |             |                |             |             |
|  |             |                  |                    | Code       | V        | (A)        | (D)                   |              |                     |                  | Shares   |             |                |             |             |

## **Reporting Owners**

|   | Relationships |              |                          |       |  |  |  |  |
|---|---------------|--------------|--------------------------|-------|--|--|--|--|
| Reporting Owner Name / Address  | Director      | 10%<br>Owner | Officer                  | Other |  |  |  |  |
| Bluestein Scott<br>C/O HERCULES CAPITAL, INC.<br>31 ST. JAMES AVENUE, SUITE 790<br>BOSTON, MA 02116 |               |              | Chief Investment Officer |       |  |  |  |  |

# **Signatures**

s/s Melanie Grace, Attorney-in-Fact for Scott Bluestein 04/11/2016 Date \*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on January 14, 2016
- (2) To correct price of securities as reported on Form 4 filed on January 19, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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