## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

)		1												
1. Name and Address of Reporting Person* Foster Carol L				2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) _X_ Director 10% Owner				
(Last) (First) (Middle) C/O HERCULES CAPITAL, INC., 400 HAMILTON AVENUE SUITE 310				3. Date of Earliest Transaction (Month/Day/Year) 10/07/2019						Office	r (give title belo	ow)	Other (specify	pelow)
PALO ALTO, CA 94301				4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line)  X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
(State)	(Zip)		Т	able I -	Non-	-Deri	ivative S	Securiti	ies Acqu	ired, Disp	osed of, or l	Beneficially	Owned	
Date	th/Day/Year)	Execut any	ion Date, if	Code (Instr. 8)		4. Securities Acquired (A or Disposed of (D) (Instr. 3, 4 and 5)		Beneficially Owned Following Reported Transaction(s)		Ownership Form:	Beneficial			
		(Month	n/Day/Year)	Code	,	V A	Amount	(A) or (D)	Price	(Instr. 3	instr. 3 and 4)		or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
10/0	7/2019			S		1,	,328	D S	\$ 13.271	6,057			D	
					t quire	he fo	orm dis	splays of, or B	a curre	ntly valid	OMB con			
3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, i	ate, if	4. Transaction Code	5.		6. Date Exercisable and Expiration Date		7. T Am Und Sec	ount of lerlying urities	8. Price of Derivative Security (Instr. 5)		Ownersh Form of Derivativ Security: Direct (D or Indirect	Beneficia Ownershi (Instr. 4)	
										Amount				
	Reporting Person-  (First) APITAL, INC., NUE SUITE 311 (Street)  4301 (State)  2. Transaction Date 3. Transaction Date	Reporting Person*  (First) (Middle) APITAL, INC., 400 NUE SUITE 310 (Street)  2. Transaction Date (Month/Day/Year)  10/07/2019  Paparate line for each class of security any  Table II -  3. Transaction Date (Month/Day/Year)  3A. Deemed Execution Date (Month/Day/Year) any	Reporting Person*  2. I Here  (First) (Middle) APITAL, INC., 400 NUE SUITE 310  (Street) 4. If  2. Transaction Date (Month/Day/Year)  10/07/2019  Table II - Derivate, 9. Person of the execution Date (Month/Day/Year)  3. Transaction Date Execution Date (e.g., p.	Reporting Person*  2. Issuer Name Hercules Capi  (First) (Middle) APITAL, INC., 400 NUE SUITE 310  (Street)  4. If Amendment  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  10/07/2019  Table II - Derivative Securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of securities beneficially of the desired for each class of the desired f	Reporting Person 2. Issuer Name and Tic Hercules Capital, Independent of Independent of Hercules Capital, Independent of In	Reporting Person*  2. Issuer Name and Ticker of Hercules Capital, Inc. [Final Code (Instr. 8)]  2. Issuer Name and Ticker of Hercules Capital, Inc. [Final Code (Instr. 8)]  3. Date of Earliest Transaction 10/07/2019  4. If Amendment, Date Origin 2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Execution Date, if any (Month/Day/Year)  3. Transaction Date (e.g., puts, calls, warrants, opt and the Execution Date, if any (Month/Day/Year)  3. Transaction Date (Execution Date, if any (Month/Day/Year)  4. If Amendment, Date Origin 3. Transaction Code (Instr. 8)  Code (Instr. 8)	Reporting Person 2. Issuer Name and Ticker or Tra Hercules Capital, Inc. [HTG (First) (Middle) APITAL, INC., 400 (NUE SUITE 310)  (Street) 4. If Amendment, Date Original File (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 3. Transaction Date (Instr. 8) (Month/Day/Year) 2. Transaction Date, if any (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 3. Deemed Execution Date, if any (Month/Day/Year) 4. Transaction Date (Instr. 8) 5. 6. Deprivative Securities Acquired (Month/Day/Year) 4. Derivative Securiti	Reporting Person 2. Issuer Name and Ticker or Trading Sy Hercules Capital, Inc. [HTGC]  (First) (Middle) APITAL, INC., 400 NUE SUITE 310  (Street) 4. If Amendment, Date Original Filed(Month Date (Month/Day/Year))  2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Instr. 8) 3. Transaction Code (Instr. 8) (Instr. 3, (Instr. 3, 28)  2. Transaction Date (Instr. 8) 3. Transaction 4. Securities Acquired (Instr. 8) (Instr. 3, 28)  2. Transaction Date (e.g., puts, calls, warrants, options, converting the form distributed of the form distributed (Instr. 8) (Instr. 8)  3. 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Individual or Joint/X_Form filed by More than 10/07/2019   S. Transaction Date (Month/Day/Year)   S. Amount of Securities Acquired, Disposed of, or Individual or Joint/X_Form filed by More than 10/07/2019   S. Transaction Date (Month/Day/Year)   S. Amount of Securities Acquired (A)   S. Amount of Securities (Month/Day/Year)   S. Amount of Securities (Instr. 8)   S. Amount of Securities (Instr. 3)   S. Amount of Securities (Instr. 5)   S. Amount of Securities (Inst	2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]   S. Relationship of Reporting Person (Check all applic X_Director Officer (give title below)	2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]   5. Relationship of Reporting Person(s) to Issue (Check all applicable)

	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
Foster Carol L C/O HERCULES CAPITAL, INC. 400 HAMILTON AVENUE SUITE 310 PALO ALTO, CA 94301	X				

# **Signatures**

/s//Melanie Grace, Attorney-in-Fact for Carol L. Foster	11/20/2019
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.