

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 14A INFORMATION

Proxy Statement Pursuant to Section 14(a) of the
Securities Exchange Act of 1934

Filed by the Registrant

Filed by a Party other than the Registrant

Check the appropriate box:

- Preliminary Proxy Statement
 Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))
 Definitive Proxy Statement
 Definitive Additional Materials
 Soliciting Material Pursuant to §240.14a-12

Hercules Capital, Inc.

(Name of Registrant as Specified in Its Charter)

(Name of Person(s) Filing Proxy Statement if Other Than the Registrant)

Payment of Filing Fee (Check the appropriate box):

- No fee required.
 Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.
- (1) Title of each class of securities to which transaction applies:
 - (2) Aggregate number of securities to which transaction applies:
 - (3) Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (Set forth the amount on which the filing fee is calculated and state how it was determined):
 - (4) Proposed maximum aggregate value of transaction:
 - (5) Total fee paid:
- Fee paid previously with preliminary materials.
 Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the form or schedule and the date of its filing.
- (1) Amount previously paid:
 - (2) Form, schedule or registration statement no.:
 - (3) Filing party:
 - (4) Date filed:
-

Your vote is needed for the Hercules Capital Special Meeting! Click to vote now.



As an investor in this security, you have the right to vote on important issues.

Make your voice heard now!

Vote Common Shares by:


June 11, 2020

Control Number:

0123456789012345

Ways to Vote

 [Go to ProxyVote.com](https://www.proxyvote.com)

 Call 1-800-690-6903

This email represents the following share(s):

HERCULES CAPITAL INC - RESTRICTED	123,456,789,012.00000
HERCULES CAPITAL INC	123,456,789,012.00000
HERCULES CAPITAL INC	123,456,789,012.00000
HERCULES CAPITAL INC	123,456,789,012.00000
HERCULES CAPITAL INC	123,456,789,012.00000
HERCULES CAPITAL INC	123,456,789,012.00000
HERCULES CAPITAL INC - COMMON	123,456,789,012.00000

Important Materials: [Proxy Statement](#) [Shareholder Letter](#)

For holders as of April 20, 2020



Why Should I Vote?

Make your voice heard on critical issues like board elections and executive compensation. The outcome of the vote can affect the value of your shares.



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