## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Respo	nses)												
1. Name and Address of Reporting Person * Bluestein Scott			2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) C/O HERCULES CAPITAL, INC., 31 ST. JAMES AVENUE, SUITE 790			3. Date of Earliest Transaction (Month/Day/Year) 06/04/2016						X Officer (give title below) Other (specify below)  Chief Investment Officer				
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line)  Form filed by One Reporting Person Form filed by More than One Reporting Person				
BOSTON, MA 02116 (City) (State) (Zip)			Toble I Non Posivetive Securities Aggs					ired, Disposed of, or Beneficially Owned					
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transaction		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities			6. Ownership	7. Nature of Indirect Beneficial
				Code	V	Amount	(A) or (D)	Price	(Instr. 3 a	and 4)		\ /	Ownership (Instr. 4)
Common Stock		06/04/2016		F		800 (1)	D \$	2.33	238,614	1		D	
			Derivative Securit		the t	form dis pisposed o	plays a o	currer eficiall	ntly valid		spond unles rol number		
1. Title of Derivative Security (Instr. 3) Price of Derivative Security	ise (Month/Da	3A. Deemed Execution Da ay/Year) any	(e.g., puts, calls, wath the continuous puts of the continuous puts		6. Dand (Mc	Date Exercisable I Expiration Date onth/Day/Year)		7. Ti Amo Undo Secu (Inst 4)	tle and out of erlying rities r. 3 and Amount or Number	Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownersl Form of Derivati Security Direct (I or Indire	(Instr. 4)
			Code V	(A) (D)	LAC	reisable	Date		of Shares				
Reporting	Owners		Re	lationships	3								

	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Bluestein Scott C/O HERCULES CAPITAL, INC. 31 ST. JAMES AVENUE, SUITE 790 BOSTON, MA 02116			Chief Investment Officer				

# **Signatures**

/s/Melanie Grace, Attorney-in-Fact for Scott Bluestein	06/07/2016	
**Signature of Reporting Person	Date	

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)
- (1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on June 4, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.