UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

es)															
1. Name and Address of Reporting Person* WOODWARD ALLYN C JR				2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
		3. Date of Earliest Transaction (Month/Day/\) 09/15/2016					//Year)		Offic	er (give title bel	ow)	Other (specify l	pelow)		
PALO ALTO, CA 94301				4. If Amendment, Date Original Filed(Month/Day/Year)							6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting PersonForm filed by More than One Reporting Person				
(State)	(Zip)			Ta	ble I - I	Non-	-Deriv	ative S	Securitie	es Acqu	iired, Disp	osed of, or	Beneficially	Owned	
D	2. Transaction Date (Month/Day/Year)		ution Date, if		Code (Instr. 8)		(1		of (D)	Beneficia Reported	ally Owned Following I Transaction(s)			Beneficial
		(Mon	tn/Day/ Y	ear)		e	V	Amoun	(A) or (D)	Price	(Instr. 3	: 3 and 4)		or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
09	9/15/2016				A			7	A	\$ 13.44	246,62	246,621		D	
						tl uired	ontai he fo d, Disj	ined in rm dis posed o	n this fo splays a of, or Be	orm ar a curre eneficia	e not required the not required to the notice of the notic	uired to re d OMB con	spond unle	ss	1474 (9-02)
on Date (Month/Day/Year)	3A. Deemed Execution Date, if	ite, if	4. Transaction Code (Instr. 8)		5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. An Un Sec	Fitle and nount of derlying curities		Derivative Securities Beneficially Owned Following Reported	Owners Form of Derivati Security Direct (or Indirect) (I)	Ownershi (Instr. 4)	
							Date		Expiration		Amount	t			
	CAPITAL, INCENUE SUITE 3 (Street) 94301 (State) 2. Da (N Separate line for each of the separa	f Reporting Person LLYN C JR (First) (Middle) CAPITAL, INC., 400 CNUE SUITE 310 (Street) 94301 (State) (Zip) 2. Transaction Date (Month/Day/Year) 09/15/2016 Table II - (Augustian of Compared Execution Date (Month/Day/Year) 3. Transaction Date (Month/Day/Year) 3. Transaction Date (Month/Day/Year)	f Reporting Person 2. Is LLYN C JR (First) (Middle) CAPITAL, INC., 400 (Street) 4. If A 94301 (State) (Zip) 2. Transaction Date (Month/Day/Year) 09/15/2016 Table II - Deriva (e.g., p 3. Transaction Date (Month/Day/Year) 3. Transaction Date (e.g., p	## CAPITAL, INC., 400 (Street)	2. Issuer Name a Hercules Capit (First) (Middle) (APITAL, INC., 400 (Street) (Street) (Street) (Street) (State) (Zip) (Month/Day/Year) 2. Transaction Date (Month/Day/Year) (Month/Day/Year) 2. Table II - Derivative Securities, puts, calls, was (Month/Day/Year) (Month/Day/Year) 3. Transaction Date (Month/Day/Year) (Month/Day/Year) Table II - Derivative Securities, puts, calls, was (Month/Day/Year) (Instr. 8)	2. Issuer Name and Tick Hercules Capital, Inc. (First) (Middle) (APITAL, INC., 400 (Street)) (Street) (Street) (APITAL) (State) (Zip) (Street) (APITAL) (Zip) (Street) (APITAL) (APITA	2. Issuer Name and Ticker of Hercules Capital, Inc. [Hercules Capital, Inc. [H	2. Issuer Name and Ticker or Trad Hercules Capital, Inc. [HTGC (First) (Middle) (NUE SUITE 310 2. If Amendment, Date of Earliest Transaction (More	Carransaction Date (Month/Day/Year) Cade Capital Capital	2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]	Carrent Carr	2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC] (First) (CAPITAL, INC., 400 (NUE SUITE 310 (Street) (State) 2. Transaction (Month/Day/Year) (State) 2. Transaction (Date (Month/Day/Year) 2. Transaction (State) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 3. Transaction Code (Instr. 8) Code V Amount (D) Price Persons who respond to the colle contained in this form are not req the form displays a currently valid (A) or Disposed of (D) Code Persons who respond to the colle contained in this form are not req the form displays a currently valid (A) or Disposed of (D) Code Persons who respond to the colle contained in this form are not req the form displays a currently valid (A) or Disposed of (D) Code (Instr. 3) 3. Transaction Date (e.g., puts, calls, warrants, options, convertible securities) 3. Transaction Date (Month/Day/Year) 3. Transaction Date (Month/Day/Year) 3. Transaction Date (Code (Month/Day/Year) (Month/Day/Year) 3. Transaction Date (Code (Month/Day/Year) (Month/Day/Year) (Instr. 8) 5. Relatic XDire Coffic XDorns (A) or Disposed of (D) (Instr. 8) Persons who respond to the colle contained in this form are not req the form displays a currently valid Code (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 8) 5. Relatic XDire A. Securities Acquired (A) or Disposed of (D) (Instr. 8) Persons who respond to the colle contained in this form are not req the form displays a currently valid Code (Month/Day/Year) (Month/Day/Y	2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC] S. Relationship of Rej (Ch. April 1974) S. Relationship of Rej (Ch. Nutrice) S. Amount of Securities S. Amount of Securities S. Amount of Securities S. Relationship of Rej (Ch. Nutrice) S. Amount of Securities S. Relationship of Rej (Ch. Nutrice) S. Amount of Securities S. Relationship of Rej (Ch. Nutrice) S. Amount of Securities S. Relationship of Rej (Ch. Nutrice) S. Relationsh	2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (State) (Zip) 4. If Amendment, Date Original Filed(Month/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired (A) or Disposed of, Or Beneficially Owned Following (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 3) (A) Separate line for each class of securities beneficially owned directly or indirectly. (Month/Day/Year) (Month/Day/Year) (Instr. 3) (A) Securities Acquired (A) or Disposed of, or Beneficially Owned form of information contained in this form are not required to respond unlies form of information contained in this form are not required (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Instr. 4) (Instr. 4)	Separate line for each class of securities beneficially owned directly of indirect (Month/Day/Year) Separate line for each class of securities beneficially owned directly of (Month/Day/Year) Separate line for each class of securities beneficially owned directly of (Month/Day/Year) Separate line for each class of securities beneficially owned directly of (Month/Day/Year) Separate line for each class of securities described in the form of

	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
WOODWARD ALLYN C JR C/O HERCULES CAPITAL, INC. 400 HAMILTON AVENUE SUITE 310 PALO ALTO, CA 94301	X				

Signatures

/s/Melanie Grace, Attorney-in-fact for Allyn C. Woodward, Jr.	09/19/2016
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued based on reporting person's election to receive stock in lieu of cash compensation fee otherwise due to reporting person as a director of the Issuer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.