## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Print or Ty	pe Responses	s)															
1. Name and Address of Reporting Person * Grace Melanie					2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) C/O HERCULES CAPITAL INC., 400 HAMILTON AVENUE SUITE 310					3. Date of Earliest Transaction (Month/Day/Year) 06/17/2017						X Officer (give title below) Other (specify below)  General Counsel & CCO						
(Street) PALO ALTO, CA 94301					4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City) (State) (Zip)					Table I - Non-Derivative Securities Acqu						ired, Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Year)			Year) Ex	,	(Instr. 8)		A. Securities Acquire (A) or Disposed of ( (Instr. 3, 4 and 5)			Beneficia Reported	nt of Securities Illy Owned Following Transaction(s)		6. Ownership Form:	7. Nature of Indirect Beneficial			
				(N	Month/Day/Year)	Code	v	Amount	(A) or (D)	Price	or (I			Ownership (Instr. 4)			
Common Stock 06/17/2017			7		F		302 (1)	(11)	\$ 13.28	15,530			D				
			Table		rivative Securit g., puts, calls, w	-				•	Owned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/\footnote{1}	any 3A. Dee Execution	emed on Date,		arrants, op 5.	the ed, Dotions	tained ir form dis visposed o	of, or Bendible secu	rm are curren neficially rities) 7. Tit Amou Unde Secur	not required to the total value of the total value	OMB conf	9. Number Derivative Securities Beneficially Owned Following	of 10. Owners Form of	ve Ownershir: (Instr. 4)		
	·					(A) or Disposed of (D) (Instr. 3, 4, and 5)						Reported Transaction(s (Instr. 4)	or Indire (I) (Instr. 4)				
					Code V	(A) (D)	Date	-	Expiratio Date	Title	Amount or Number of Shares						
Repor	ting O	wners															
D	outing O	ny Nama / A 3	dwass			Relation	ships	3									
Reporting Owner Name / Address Direct					or 10% Officer					Oth	er						

General Counsel & Dong; CCO

Owner

### **Signatures**

PALO ALTO, CA 94301

Grace Melanie

/s/Melanie Grace	06/19/2017				
**Signature of Reporting Person	Date				

C/O HERCULES CAPITAL INC.

400 HAMILTON AVENUE SUITE 310

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)
- (1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on June 17, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.