

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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SEC 1473 (7-02)

#### **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)							
1. Name and Address of Reporting Person <sup>*</sup> Jaquez-Fissori Todd	2. Date of Event Requiring Statement (Month/Day/Year) 10/10/2011	3. Issuer Name and Ticker or Trading Symbol HERCULES TECHNOLOGY GROWTH CAPITAL INC [HTGC]					
(Last) (First) (Middle) C/O HERCULES TECHNOLOGY GROWTH CAPITAL, 400 HAMILTON AVE., SUITE 310 (Street) PALO ALTO, CA 94301	10/10/2011	4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X Officer (give title Other (specify below) Tech & Clean Tech Group Head			<ul> <li>5. If Amendment, Date Original Filed(Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person</li> </ul>		
(City) (State) (Zip)	Table I - Non-Derivative Securities Beneficially Owned						
1. Title of Security (Instr. 4)		2. Amount of Securities Beneficially Owned (Instr. 4)		4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock par value \$0.001	10,000 (1)	10,000 (1)					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(Instr. 4)			Securities Underlying Derivative Security		4. Conversion or Exercise Price of Derivative	Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security Direct (D) or Indirect (I) (Instr. 5)		
	Options to Purchase Common Stock	<u>(2)</u>	12/09/2016	Common Stock \$0.001 par value	21,000	\$ 9.85	D	

## **Reporting Owners**

	Relationships				
<b>Reporting Owner Name / Address</b>	Director	10% Owner	Officer	Other	
Jaquez-Fissori Todd C/O HERCULES TECHNOLOGY GROWTH CAPITAL 400 HAMILTON AVE., SUITE 310 PALO ALTO, CA 94301			Tech & Clean Tech Group Head		

### **Signatures**

/Scott Harvey/Attorney-in-Fact for Todd Jaquez-Fissori 10/13/2011 \*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted stock issued as a grant pursuant to the Amended and Restated 2004 Equity Incentive Plan and subject to forfeiture restrictions of one-fourth lapsing on 3/30/2012 (1) followed by prorata monthly lapsing over the following 36 months.
- (2) 4,667 shares are fully vested and exercisable. The remaining shares vest prorata monthly through 12/09/12.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.