| FORM 4 | Ļ |
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| Check this box if no  |
|-----------------------|
| longer subject to     |
| Section 16. Form 4 or |
| Form 5 obligations    |
| may continue. See     |
| Instruction 1(b).     |

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Per<br>Baron Jessica T                   | 2. Issuer Name and Ticker or Trading Symbol<br>HERCULES TECHNOLOGY GROWTH<br>CAPITAL INC [HTGC] |  |                    |            |  |          | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director10% Owner<br>Officer (give title below)Other (specify below) |  |  |   |
|---|---|--|--------------------|------------|--|----------|--|--|--|---|
| (Last) (First)<br>C/O HERCULES TECHNOLO<br>CAPITAL, 400 HAMILTON A<br>310 |   | 3. Date of Earliest Transaction (Month/Day/Year)<br>01/24/2013                   |                    |            |  |          | Chief Financial  | Omce   |  |   |
| (Street)<br>PALO ALTO,, CA 94301  | 4. If Amendment, 1  | Date Origin  | nal Fi             | led(Month/ | Day/Year   | )        | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting PersonForm filed by More than One Reporting Person       |  |  |   |
| (City) (State)  | (Zip)   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                    |            |  |          |  |  |  |   |
| 1. Title of Security<br>(Instr. 3)  | Date<br>(Month/Day/Year)  | Execution Date, if   | Code<br>(Instr. 8) |            | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5)<br>(A)<br>or |          | of (D)   | 5. Amount of Securities<br>Beneficially Owned Following<br>Reported Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common Stock par value<br>\$0.001   | 01/24/2013  |  | Code<br>F          |            | Amount<br>41 (1)   | (D)<br>D | Price<br>\$<br>12.02   | 93,244   | (Instr. 4)<br>D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

| (e.g., puts, cans, warrants, options, convertible securities) |              |                  |                    |            |     |        |        |              |            |        |         |             |                |             |             |
|---|--------------|------------------|--------------------|------------|-----|--------|--------|--------------|------------|--------|---------|-------------|----------------|-------------|-------------|
| 1. Title of   | 2.           | 3. Transaction   | 3A. Deemed         | 4.         |     | 5.     |        | 6. Date Exer | cisable    | 7. Tit | le and  | 8. Price of | 9. Number of   | 10.         | 11. Nature  |
| Derivative  | e Conversion | Date             | Execution Date, if | Transact   | ion | Numl   | ber    | and Expirati | on Date    | Amo    | unt of  | Derivative  | Derivative     | Ownership   | of Indirect |
| Security  | or Exercise  | (Month/Day/Year) | any                | Code       |     | of     |        | (Month/Day   | /Year)     | Unde   | rlying  | Security    | Securities     | Form of     | Beneficial  |
| (Instr. 3)  | Price of     |                  | (Month/Day/Year)   | (Instr. 8) |     | Deriv  | ative  |              |            | Secur  | rities  | (Instr. 5)  | Beneficially   | Derivative  | Ownership   |
|   | Derivative   |                  |                    |            |     | Secur  | rities |              |            | (Instr | : 3 and |             | Owned          | Security:   | (Instr. 4)  |
|   | Security     |                  |                    |            |     | Acqu   | ired   |              |            | 4)     |         |             | Following      | Direct (D)  |             |
|   |              |                  |                    |            |     | (A) o  | r      |              |            |        |         |             | Reported       | or Indirect |             |
|   |              |                  |                    |            |     | Dispo  |        |              |            |        |         |             | Transaction(s) |             |             |
|   |              |                  |                    |            |     | of (D  | /      |              |            |        |         |             | (Instr. 4)     | (Instr. 4)  |             |
|   |              |                  |                    |            |     | (Instr | · · ·  |              |            |        |         |             |                |             |             |
|   |              |                  |                    |            |     | 4, and | 15)    |              |            |        |         |             |                |             |             |
|   |              |                  |                    |            |     |        |        |              |            |        | Amount  |             |                |             |             |
|   |              |                  |                    |            |     |        |        | Date         | Expiration |        | or      |             |                |             |             |
|   |              |                  |                    |            |     |        |        | Exercisable  |            | Title  | Number  |             |                |             |             |
|   |              |                  |                    |            |     |        |        | LACICISADIC  | Date       |        | of      |             |                |             |             |
|   |              |                  |                    | Code       | V   | (A)    | (D)    |              |            |        | Shares  |             |                |             |             |

## **Reporting Owners**

|   | Relationships |              |                        |       |  |  |  |  |  |
|---|---------------|--------------|------------------------|-------|--|--|--|--|--|
| Reporting Owner Name / Address  | Director      | 10%<br>Owner | Officer                | Other |  |  |  |  |  |
| Baron Jessica T<br>C/O HERCULES TECHNOLOGY GROWTH CAPITAL<br>400 HAMILTON AVE., SUITE 310<br>PALO ALTO,, CA 94301 |               |              | Chief Financial Office |       |  |  |  |  |  |

# Signatures

| /s/K. Nicholas Martitsch, Attorney-in-Fact for Jessica Baron | 01/28/2013 |
|--|------------|
| **Signature of Reporting Person                              | Date       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on January 24, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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