| FORM 4 | ŀ |
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| Check this box if no  |
|-----------------------|
| longer subject to     |
| Section 16. Form 4 or |
| Form 5 obligations    |
| may continue. See     |
| Instruction 1(b).     |

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Pe<br>Baron Jessica T                    | 2. Issuer Name and Ticker or Trading Symbol<br>HERCULES TECHNOLOGY GROWTH<br>CAPITAL INC [HTGC] |  |   |  |  |   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner<br>X_Officer (give title below) Other (specify below) |  |  |   |  |
|---|---|--|---|--|--|---|--|--|--|---|--|
| (Last) (First)<br>C/O HERCULES TECHNOLO<br>CAPITAL, 400 HAMILTON A<br>310 |   | 3. Date of Earliest Transaction (Month/Day/Year)<br>05/30/2013                   |   |  |  |   |  | Chief Financial  | Office   |   |  |
| (Street)<br>PALO ALTO,, CA 94301  | 4. If Amendment, Date Original Filed(Month/Day/Year)  |  |   |  |  |   | 6. Individual or Joint/Group Filing(Check Applicable Line)<br>_X_Form filed by One Reporting Person<br>Form filed by More than One Reporting Person    |  |  |   |  |
| (City) (State)  | (Zip)   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |  |  |   |  |  |  |   |  |
| 1.Title of Security<br>(Instr. 3)   | Date<br>(Month/Day/Year)  | Execution Date, if   |   |  | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5)<br>(A)<br>or<br>Amount (D) Price |   | of (D)   | 5. Amount of Securities<br>Beneficially Owned Following<br>Reported Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common Stock par value<br>\$0.001   | 05/30/2013  |  | F |  |  | D | \$<br>13.69  | 119,256  | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

| (e.g., puts, calls, warrants, options, convertible securities) |             |                  |                    |             |            |               |              |                    |        |        |             |                |             |             |
|--|-------------|------------------|--------------------|-------------|------------|---------------|--------------|--------------------|--------|--------|-------------|----------------|-------------|-------------|
| 1. Title of  | 2.          | 3. Transaction   | 3A. Deemed         | 4.          | 5.         |               | 6. Date Exer | cisable            | 7. Tit | le and | 8. Price of | 9. Number of   | 10.         | 11. Nature  |
| Derivative   | Conversion  | Date             | Execution Date, if | Transaction | ı Nu       | mber          | and Expirati | on Date            | Amo    | unt of | Derivative  | Derivative     | Ownership   | of Indirect |
| Security   | or Exercise | (Month/Day/Year) | any                | Code        | of         |               | (Month/Day   | y/Year) Underlying |        | rlying | Security    | Securities     | Form of     | Beneficial  |
| (Instr. 3)   | Price of    |                  | (Month/Day/Year)   | (Instr. 8)  | De         | rivative      | •            |                    | Secur  | rities | (Instr. 5)  | Beneficially   | Derivative  | Ownership   |
|  | Derivative  |                  |                    |             |            | Securities (1 |              | (Instr. 3 and      |        |        |             | ~              | (Instr. 4)  |             |
|  | Security    |                  |                    |             |            | Acquired 4    |              | 4)                 |        | 0      | Direct (D)  |                |             |             |
|  |             |                  |                    |             | (A) or     |               |              |                    |        |        |             | 1              | or Indirect |             |
|  |             |                  |                    |             | Disposed   |               |              |                    |        |        |             | Transaction(s) | < / .       |             |
|  |             |                  |                    |             | of (D)     |               |              |                    |        |        |             | (Instr. 4)     | (Instr. 4)  |             |
|  |             |                  |                    |             | (Instr. 3, |               |              |                    |        |        |             |                |             |             |
|  |             |                  |                    |             | 4, and 5)  |               |              |                    |        |        |             |                |             |             |
|  |             |                  |                    |             |            |               |              |                    |        | Amount |             |                |             |             |
|  |             |                  |                    |             |            |               | Date         | Expiration         |        | or     |             |                |             |             |
|  |             |                  |                    |             |            |               | Exercisable  | *                  | Title  | Number |             |                |             |             |
|  |             |                  |                    |             |            |               |              | Duit               |        | of     |             |                |             |             |
|  |             |                  |                    | Code V      | (A         | (D)           |              |                    |        | Shares |             |                |             |             |

## **Reporting Owners**

|   | Relationships |              |                        |       |  |  |  |  |
|---|---------------|--------------|------------------------|-------|--|--|--|--|
| Reporting Owner Name / Address  |               | 10%<br>Owner | Officer                | Other |  |  |  |  |
| Baron Jessica T<br>C/O HERCULES TECHNOLOGY GROWTH CAPITAL<br>400 HAMILTON AVE., SUITE 310<br>PALO ALTO,, CA 94301 |               |              | Chief Financial Office |       |  |  |  |  |

# Signatures

/s/K. Nicholas Martitsch, Attorney-in-Fact for Jessica Baron <sup>\*\*</sup>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on May 30, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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