## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Ì	pe Response																
1. Name and Address of Reporting Person * Henriquez Manuel A				2. Issuer Name <b>and</b> Ticker or Trading Symbol HERCULES TECHNOLOGY GROWTH CAPITAL INC [HTGC]						_x_	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  _X_ Director _X_ Officer (give title below) Other (specify below)						
(Last) (First) (Middle) C/O HERCULES TECHNOLOGY GROWTH CAPITAL,, 400 HAMILTON AVE, SUITE 310				3. Date of Earliest Transaction (Month/Day/Year) 09/30/2013								Р.	resident & (	CEO			
(Street) PALO ALTO, CA 94301				4. If Amendment, Date Original Filed(Month/Day/Year)					_X_ F	6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person _Form filed by More than One Reporting Person							
(City) (State) (Zip)			Table I - Non-Derivative Securities Acqui						quired,	ired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)	any	ecution Date, if	(Instr. 8)		(A) or Disposed		of (E	of (D) Benef Repor		Amount of Securities eneficially Owned Following eported Transaction(s)		Ownership Form:	Beneficial	
				(Month/Day/Y	ear)	Cod	de	V	Amoun	(A) or (D)	Pric	Ì	ŕ		Direct (D) or Indirect (I) (Instr. 4)		
Common	Stock		09/30/2013			F			956 <u>(1</u>	D	\$ 15.2	25 1,7	32,9	16		D	
Reminder:	Report on a s	separate line fo	or each class of secu	rities beneficial  Derivative Sec	•			Pers cont the f	ons whatined in	no responding the splays a	orm a a cur	are not rrently	requ valid	ction of inf uired to res OMB conf	spond unle	ess	C 1474 (9-02)
		I		(e.g., puts, calls	s, wa	rrants	s, op								I		
Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Y	Year) Execution Da			Number a		6. Date Exercisable and Expiration Date (Month/Day/Year)		A U S	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Owner Form of Deriva Securit Direct or Indi	Beneficitive Ownersh (Instr. 4)  (D) rect	
				Code	V	(A) (	(D)	Date Exer	*	Expirati Date	ion T	Am or Num of Sha					

### **Reporting Owners**

	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Henriquez Manuel A C/O HERCULES TECHNOLOGY GROWTH CAPITAL, 400 HAMILTON AVE, SUITE 310 PALO ALTO, CA 94301	X		President & CEO				

### **Signatures**

/s/ Michael Penney, Attorney-in-Fact for Manuel Henriquez	10/01/2013
**Signature of Reporting Person	Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on September 30, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.