## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	pe Response	3)														
Name and Address of Reporting Person *  Shah Parag			HERO	2. Issuer Name <b>and</b> Ticker or Trading Symbol HERCULES TECHNOLOGY GROWTH CAPITAL INC [HTGC]						5. Relationship of Reporting Perso (Check all applie Director X Officer (give title below) Senior Managing			cable) 10% Owner Other (specify below)			
	RCULES 7		(Middle) OGY GROWTH VE., SUITE 790	07/01	of Earliest /2014	Trans	action	n (M	Ionth/Day	//Year)			Senio	r Managing	Director	
BOSTO	N, MA 021	(Street)		4. If Aı	mendment,	Date (	Origir	nal F	iled(Month	n/Day/Year)	)	_X_ Form fil	ed by One Repo	Group Filing orting Person One Reporting		ble Line)
(City	<i>'</i> )	(State)	(Zip)		Ta	able I	- Non	-De	rivative S	Securitie	s Acqu	ired, Disp	osed of, or I	Beneficially	Owned	
(Instr. 3) Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr. 8)		tion	n 4. Securities Acquired (A) or Disposed of (D. (Instr. 3, 4 and 5)		of (D)	5. Amount of Securities		Following	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	
				(Month/Day/Tear		Со	de	V	Amount	(A) or (D)	Price	(mon. 5 c	ila 1)		or Indirect (I) (Instr. 4)	(Instr. 4)
Common	n Stock		07/01/2014			St	<u>1)</u>		33,000	$\mathbf{D}$	\$ 16.21 (2)	393,237	7		D	
Reminder:	Report on a s	separate line fo	or each class of secu	Derivati	ive Securit	ies Ac	quire	Pers con the	sons wh tained in form dis	no responsible this for splays a	orm are curre	e not requently valid		ormation spond unle trol numbe	ss	1474 (9-02)
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	(Month/Day/	Year) Execution Day	Transaction Code Year) (Instr. 8)		5.		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. T Amo Und Seco	Title and ount of derlying urities tr. 3 and		9. Number of Derivative Securities Beneficially Owned Following Reported Transaction ((Instr. 4)	Ownersh Form of Derivativ Security: Direct (D or Indirect	Beneficia Ownershi (Instr. 4)	
						(Instr.	3,							(Instr. 4)	(Instr. 4	•)

### **Reporting Owners**

	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
Shah Parag C/O HERCULES TECHNOLOGY GROWTH CAPITAL, 31 ST. JAMES AVE., SUITE 790 BOSTON, MA 02116			Senior Managing Director		

## **Signatures**

/s/ Michael Penney, Attorney-in-Fact for Parag Shah	07/02/2014

**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to a 10b5-1 trading plan adopted by the Reporting Person in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$16.11 to \$16.34, inclusive. The reporting (2) person undertakes to provide to Issuer, any security holder of Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.