## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Common Stock  10/30/2014  F 979 (1)  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  1. Title of 2. Derivative Conversion Date Execution Date Security of Execution Date (Month/Day/Year)  SEC 1474 (9-02)  Code of Of Ownership Of Date (Month/Day/Year) Underlying Security Securities Securities (Instr. 4)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  7. Title and Amount of Derivative Derivative Securities Securities Security Security Security Security Security Security Security Security Securities Securities Derivative Ownership Of Indirect (Instr. 4)  Instr. 4)  | (1 Till of Type Ic  | Responses                                 | )               |                                   |                            |  |  |                        |  |  |   |   |                         |   |   |                                |
|--|---|---|-----------------|-----------------------------------|----------------------------|--|--|------------------------|--|--|---|---|-------------------------|---|---|--------------------------------|
| CO HERCULES TECHNOLOGY GROWTH CAPITAL, 400 HAMILTON AVE, SUITE  1. Title of Security (Instr. 3)  Common Stock  10/30/2014  2. Transaction Date (Month/Day/Year) (Month/Day/Year)  Common Stock  10/30/2014  2. Transaction Date (Month/Day/Year) (Month/Day/Year)  Code (Month/Day/Year)  Code (Month/Day/Year)  Table I - Derivative Securities beneficially owned directly or indirectly contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Table II - Derivative Securities Acquired (Month/Day/Year)  Code (Month/Day/Year)  Table II - Derivative Securities beneficially owned directly or indirectly contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Table II - Derivative Securities Acquired (Month/Day/Year)  (Month/Day/Year)  Annual (A) or  |   |   |                 |                                   | HERCULES TECHNOLOGY GROWTH |  |  |                        |  |  | (Check all applicable)  X Director 10% Owner X Officer (give title below) Other (specify below) |   |                         |   |   |                                |
| PALO ALTO, CA 94301   State   City    | C/O HERCULES TECHNOLOGY GROWTH<br>CAPITAL,, 400 HAMILTON AVE, SUITE |   |                 |                                   | ` · · · · ·                |  |  |                        |  |  | Р   | resident & C                                | EO                      |   |   |                                |
| Common Stock   10/30/2014   2   A   Derivative   Common Stock   10/30/2014   2   A   Derivative   Common Stock   10/30/2014   3   A   Derivative   Common Stock   10/30/2014   3   A   Derivative   Common Stock   10/30/2014   5   A   Derivative   Common Stock   10/30/2014   10/30/   | PALO ALTO   | O, CA 9                                   | , ,             |                                   | 4. If Amendmen             | nt, Date   | Origi                                  | nal Fi                 | iled(Montl   | h/Day/Year                                     | )   | _X_ Form fil                                | led by One Repo         | orting Person   | •   | ole Line)                      |
| Common Stock   10/30/2014   F   2.   Context   | (City)  |   | (State)         | (Zip)                             | ,                          | Table 1  | I - Noi                                | n-Der                  | ivative S  | Securitie                                      | es Acqu   | ired, Disp                                  | osed of, or l           | Beneficially  | Owned   |                                |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  1. Title of Derivative Security or indirectly.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  1. Title of Date Exercisable and Expiration Date (Month/Day/Year) (Instr. 3 and 4)  SEC 1474 (9-02  SEC 1474 (9-02  SEC 1474 (9-02  Title and Amount of Underlying Securities (Instr. 3 and 4)  Reported Following Reported Transaction(s) (Instr. 4)  Instr. 4 (Instr. 4)  Amount or Date (Instr. 4)  Date Expiration Title Number Title Num |   |   | Date            | Execution Date, any               | if Coo<br>(Ins             | f Code<br>(Instr. 8)                             |  | (A) or I<br>(Instr. 3  | Oisposed<br>3, 4 and 5<br>(A)<br>or  | of (D)   | Beneficia<br>Reported   | ally Owned Following<br>Transaction(s)      |                         | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)                                    | of Indirect<br>Beneficial<br>Ownership                                  |                                |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  1. Title of Derivative Securities Owners on Date of Exercise (Instr. 3) Price of Derivative Security  Price of Derivative Security  (Instr. 3) Price of Derivative Security  (Instr. 3) Derivative Security  (Instr. 3) Derivative Security  (Instr. 3) Derivative Security  (Instr. 3) Date Derivative Securities Acquired (Instr. 3) Date Expiration Title Number  (Instr. 4) SEC 1474 (9-02 contained to respond unless the form displays a currently valid OMB control number.  (Instr. 4) Derivative Securities (Instr. 4) Derivative Securities (Instr. 4) Derivative Securities (Instr. 4) Derivative Securities (Instr. 4) Date Expiration Title Number (Instr. 4) Date Expiration Date (Instr. 4) Date Expir | Common Stock 10/30/2014   |   |                 |                                   | F                          | 979 (1)  |  | ) D                    |  | 1,848,794                                      |   |   | D                       |   |   |                                |
| 1. Title of Derivative Security (Instr. 3) Price of Derivative Security (Instr. 3) Price of Derivative Security (Instr. 3) Date (Instr. 4) Date (Instr. 5) Date (Instr. 4) Date (Instr. 4) Date (Instr. 5) Date (Instr. 4) Date (Instr. 6) Dat | Reminder: Repo  | ort on a se                               | eparate line fo | Table II -                        | Derivative Secui           | rities A   | cquir                                  | Pers<br>cont<br>the f  | ons what in the constant of th | no respo<br>n this fo<br>splays a<br>of, or Be | orm are<br>a curre<br>eneficial   | e not requ<br>ntly valid                    | uired to res<br>OMB con | spond unle  | ss  | 1474 (9-02)                    |
|  | Derivative Security (Instr. 3) Pric                                 | nversion<br>Exercise<br>ce of<br>rivative | Date            | 3A. Deemed<br>Execution Da<br>any | te, if Transactio          | 5. Num of Deri Secu Acqu (A) of Disp of (I (Inst | vative urities uired or cosed O) r. 3, | 6. Da<br>and 1<br>(Mor | ate Exer<br>Expiration   | cisable<br>on Date                             | 7. T<br>Ame<br>Und<br>Sect<br>(Ins  | ount of<br>lerlying<br>urities<br>tr. 3 and | Derivative<br>Security  | Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction | Ownersl<br>Form of<br>Derivati<br>Security<br>Direct (I<br>or Indirect) | Benefic<br>Owners<br>(Instr. 4 |

### **Reporting Owners**

|   | Relationships |              |                 |       |  |  |
|---|---------------|--------------|-----------------|-------|--|--|
| Reporting Owner Name / Address  | Director      | 10%<br>Owner | Officer         | Other |  |  |
| Henriquez Manuel A<br>C/O HERCULES TECHNOLOGY GROWTH CAPITAL,<br>400 HAMILTON AVE, SUITE 310<br>PALO ALTO, CA 94301 | X             |              | President & CEO |       |  |  |

### **Signatures**

| /s/ Michael L. Butler, Attorney-in-Fact for Manuel Henriquez | 10/31/2014 |
|--|------------|
| **Signature of Reporting Person                              | Date       |

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on October 30, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.