## UNITED STATES SECURITIES AND EXCHANGE COMMISSION <br> Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person ${ }^{*}$ Harris Mark R | 2. Date of Event Requiring Statement (Month/Day/Year) 08/03/2015 | 3. Issuer Name and Ticker or Trading Symbol HERCULES TECHNOLOGY GROWTH CAPITAL INC [HTGC] |  |  |
| :---: | :---: | :---: | :---: | :---: |
| (Last) $\stackrel{\text { (First) }}{\text { (Middle) }}$ C/O HERCULES TECHNOLOGY GROWTH CAPITAL, 400 HAMILTON AVENUE, SUITE 310 |  |  |  | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) |  |  |  | 6. Individual or Joint/Group Filing(Check <br> Applicable Line) <br> _X_Form filed by One Reporting Person $\qquad$ Form filed by More than One Reporting Person |
| (City) (State) (Zip) | Table I - Non-Derivative Securities Beneficially Owned |  |  |  |
| 1.Title of Security (Instr. 4) | 2. Amount Beneficially (Instr. 4) | 3. Ownership  <br>  Form: Direct <br> (D) or Indirect  <br>  (I) <br> (Instr. 5)  | 4. Na (Instr | of Indirect Beneficial Ownership |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
SEC 1473 (7-02)
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security <br> (Instr. 4) | 2. Date Exercisable <br> and Expiration Date <br> (Month/Day/Year) | 3. Title and Amount of <br> Securities Underlying Derivative <br> Security <br> (Instr. 4) | 4. Conversion <br> or Exercise <br> Price of <br> Derivative <br> Security | 5. Ownership <br> Form of <br> Derivative <br> Security: Direct <br> (D) or Indirect <br> (I) |
| :--- | :--- | :--- | :--- | :--- | :--- |
| (Instr. 5) |  |  |  |  |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
|  | Director | $10 \%$ <br> Owner | Officer | Other |
| Harris Mark R <br> C/O HERCULES TECHNOLOGY GROWTH CAPITAL <br> 400 HAMILTON AVENUE, SUITE 310 <br> PALO ALTO, CA 94301 |  |  |  |  |

## Signatures

Mark R. Harris


## Explanation of Responses:

## No securities are beneficially owned

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C.
$78 \mathrm{ff}(\mathrm{a})$.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.
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