UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * BADAVAS ROBERT P | | | 2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC] | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) _X_ Director 10% Owner | | | | |
|---|---|---|--|---|---|---|---|--|---|--|---|--|---|--|
| (Last) (First) (Middle) C/O HERCULES CAPITAL, INC., 400 HAMILTON AVENUE SUITE 310 | | | 3. Date of Earliest Transaction (Month/Day/Year) 03/11/2019 | | | | | | - | Office | r (give title belo | ow) | Other (specify | pelow) |
| (Street) PALO ALTO, CA 94301 | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| | (Zip) | | Ta | able I | - Non | -Deri | vative S | Securities | Acqui | red, Disp | osed of, or l | Beneficially | Owned | |
| Date | ***** | 2A. Deemed Execution Date, any | | 3. Transac Code (Instr. 8) | | (A) or Disposed of (| | of (D) | (D) Beneficially Owned Following Reported Transaction(s) | | Following | Ownership Form: | Beneficial | |
| | | (Month/I | Day/Year) | | ode | V | Amoun | (A) or (D) | Price | (Instr. 3 a | nd 4) | | Direct (D) or Indirect (I) (Instr. 4) | Ownership (Instr. 4) |
| 03/1 | 1/2019 | | | | A | | | A \$ | § 13.47 | 151,801 | | | D | |
| | | | | | quire | the fo | orm dis | splays a o | curren eficiall | tly valid | OMB con | | | |
| | 3A. Deemed Execution Date, if | te, if Tra | 4. Transaction Code | | 5. Number of Derivative Securities Acquired | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | Security (Instr. 5) | Derivative Securities Beneficially Owned Following Reported | Owners Form of Derivat Security Direct (or Indirects) | Beneficia Ownersh (Instr. 4) |
| | | | | | | | | | | or | | | | |
| t | t) TAL, INC., SUITE 310 et) 1 e) 2. Tr. Date (Mor | (Middle) TAL, INC., 400 SUITE 310 1 e) (Zip) 2. Transaction Date (Month/Day/Year) 03/11/2019 te line for each class of secur Table II - 1 (ansaction ath/Day/Year) 3A. Deemed Execution Date (Month/Day/Year) | Hercul (Middle) TAL, INC., 400 SUITE 310 21. Transaction Date (Month/Day/Year) 22. Transaction Date (Month/Day/Year) 3. Date of 03/11/2 4. If Am 24. Deer Execution any (Month/Day/Year) Table II - Derivative (e.g., puts ansaction and Execution Date, if Transaction any (Month/Day/Year) Table II - Derivative (e.g., puts ansaction any (ansaction any (any (any (any (any (any (any (any | Hercules Capit (Middle) TAL, INC., 400 SUITE 310 at) 4. If Amendment, (Zip) Ta 2. Transaction Date (Month/Day/Year) 03/11/2019 2A. Deemed Execution Date, if any (Month/Day/Year) 4. If Amendment, 4. If Amendment, 2A. 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Date of Earliest Transaction (Mo 03/11/2019 at 1 | Hercules Capital, Inc. [HTGC] (Middle) (TAL, INC., 400 (SUITE 310) 4. If Amendment, Date Original Filed(Month of the continuation of the form distribution of the form distribution of the part of the form of the continuation of the continuation of the part of the form of the continuation of the continuat | Hercules Capital, Inc. [HTGC] 3. Date of Earliest Transaction (Month/Day/Year) 03/11/2019 4. If Amendment, Date Original Filed(Month/Day/Year) 1 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Instr. 8) Code V Amount (D) Persons who responsed of the form displays a Table II - Derivative Securities Acquired, Disposed of, or Ben (e.g., puts, calls, warrants, options, convertible securance) (Month/Day/Year) 3. Transaction (A) or Derivative Securities Acquired, Disposed of, or Ben (e.g., puts, calls, warrants, options, convertible securance) (Month/Day/Year) 4. 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Amour Code (A) or Disposed of (D) (Instr. 3, 4 and 5) (Instr. 3 a (Instr. 4) (Instr. 3) Persons who respond to the collect contained in this form are not requested the form displays a currently valid and Execution Date, if Code (Instr. 8) (Instr. 3 and Amount of Underlying Securities Acquired (A) or Disposed of (D) (Instr. 3 and Amount of Underlying Securities Acquired (A) or Disposed of (D) | Hercules Capital, Inc. [HTGC] 3. Date of Earliest Transaction (Month/Day/Year) (Characteristic) 3. Date of Earliest Transaction (Month/Day/Year) (SUITE 310 4. If Amendment, Date Original Filed(Month/Day/Year) 4. If Amendment, Date Original Filed(Month/Day/Year) (Cip) Table I - Non-Derivative Securities Acquired, Disposed of, or Individual or Joint/X. Form filed by More than Supposed of (Instr. 8) Individual or Joint/X. Form filed by More than Supposed of (Instr. 8) Individual or Joint/X. Form filed by More than Supposed of (Instr. 8) Individual or Joint/X. Form filed by More than | Hercules Capital, Inc. [HTGC] Check all applic Check all applic | Hercules Capital, Inc. [HTGC] (Middle) |

| | Relationships | | | | | |
|--|---------------|--------------|---------|-------|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | |
| BADAVAS ROBERT P C/O HERCULES CAPITAL, INC. 400 HAMILTON AVENUE SUITE 310 PALO ALTO, CA 94301 | X | | | | | |

Signatures

| /s//Melanie Grace, Attorney-in-Fact for Robert Badavas | 03/13/2019 |
|--|------------|
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)
- (1) Shares acquired through the Hercules Capital, Inc. Dividend Reinvestment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.