## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

s of Reporting P	*													
Name and Address of Reporting Person*  Ho Doreen Woo			2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]						:	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner				
(Last) (First) (Middle) C/O HERCULES CAPITAL, INC., 400 HAMILTON AVENUE SUITE 310				3. Date of Earliest Transaction (Month/Day/Year) 03/11/2019						Office	r (give title belo	ow)	Other (specify b	elow)
(Street) PALO ALTO, CA 94301				4. If Amendment, Date Original Filed(Month/Day/Year)					-	6. Individual or Joint/Group Filing(Check Applicable Line)  X_Form filed by One Reporting Person  Form filed by More than One Reporting Person				
(State)	(Zip)		Ta	ıble I -	Non-	-Deriv	vative S	ecurities	Acqui	red, Dispe	osed of, or l	Beneficially (	Owned	
	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if		f Code (Instr. 8)		(.	4. Securities Acquire		of (D)	ed 5. Amount of Securiti D) Beneficially Owned F Reported Transaction		ies 6 Following C	Ownership Form:	Beneficial
		(Month/Da	ay/Year)		le	V A	Amount	(A) or (D)	Price	(Instr. 3 a	(Instr. 3 and 4)		or Indirect (I)	Ownership (Instr. 4)
	03/11/2019			A		9	90 (1)	1 /	-	, 12,230			D	
	Table II -				uired	he fo	orm dis	plays a of, or Ben	currer reficiall	itly valid	OMB con			
ion Date (Month/Day	Execution D any	4. Tran	4. Transaction Code ) (Instr. 8)		tive ies ed ed 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		risable on Date	oble 7. Ti Date Amo ar) Und Secu	ount of derlying urities tr. 3 and Derivative Security (Instr. 5)		Derivative Securities Beneficially Owned Following Reported	Ownershi Form of Derivativ Security: Direct (D or Indirect	Beneficia Ownershi (Instr. 4)
						Date		Expiration  Date	n Title	or Number of				
r	S CAPITAL, VENUE SUIT (Street)  CA 94301 (State)  n a separate line  sion Date (Month/Day ive	CA 94301  (State)  2. Transaction Date (Month/Day/Year)  03/11/2019  Table II -  Sion Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  (Month/Day/Year)	CA 94301  (Street)  2. Transaction Date (Month/Day/Year)  03/11/2019  2. Transaction Date (Month/Day/Year)  03/11/2019  Table II - Derivative (e.g., puts, ive (Month/Day/Year) (Month/Day/Year)  3. Transaction Date Execution Date (e.g., puts, ive (Month/Day/Year) (Institute (	CA 94301  (Street)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  23. Transaction Date (Month/Day/Year)  Table II - Derivative Securities beneficially on the Execution Date, if any (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)	CA 94301  (Street)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  Table II - Derivative Securities Acques, puts, calls, warrants (Month/Day/Year)  Table II - Derivative Securities (Month/Day/Year)  Table II - Derivative Securities (Instr. Sion Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)	A Street)  (Street)  (Street)  (Street)  (Street)  (Street)  (A 1 If Amendment, Date Origin  (State)  (Zip)  (Zip)  (Zip)  (A 2A Deemed Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (A 2 Transaction Date (Instr. 8)  (Month/Day/Year)  (Code (Instr. 8)  (Code (Instr. 8)  (Code (Instr. 8)  (Month/Day/Year)  (Month/Day/Year)	A separate line for each class of securities beneficially owned directly or in Date (Month/Day/Year)  Table II - Derivative Securities Acquired, Discontant (Month/Day/Year)  Table II - Derivative Securities Acquired, Operivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 1)	SCAPITAL, INC., 400 VENUE SUITE 310  (Street)  4. If Amendment, Date Original Filed(Month  (Strate)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  3. Transaction Code (Instr. 8)  Code V Amount  A 90 (I)  Table II - Derivative Securities Acquired, Disposed of (Bo) (Month/Day/Year)  Table II - Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3)  (Month/Day/Year)  A 5. Number of Code (Instr. 8)  Code (Instr. 8)  Code (Instr. 8)  Persons wh contained ir the form dis  Table II - Derivative Securities Acquired, Disposed of (D) (Instr. 8)  (Month/Day/Year)  (Month/Day/Year)  A 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 8)  (Month/Day/Year)	SCAPITAL, INC., 400 VENUE SUITE 310  (Street)  4. If Amendment, Date Original Filed(Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (A) Or Disposed of (A) or Disposed of (B) Or Ber (e.g., puts, calls, warrants, options, convertible securities of (B) Or Disposed of (B) Or Disp	A 94301    Canual Content of Code   Canual C	S. CAPITAL, INC., 400 VENUE SUITE 310  (Street)  4. If Amendment, Date Original Filed(Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (A) or Disposed of (D) (Instr. 3)  (A) or Original Filed(Month/Day/Year)  (A) or Disposed of (D) (Instr. 3)  (A) or Disposed of (D) (Instr. 3)  (A) or Disposed of (Instr. 3)  (Instr. 3	State   Stat	S. CAPITAL, INC., 400 VENUE SUITE 310  (Stree)  4. If Amendment, Date Original Filed(Month/Day/Year)  (State)  7. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Following (Instr. 3, 4 and 5)  (Instr. 3, 4 and 5)  (Instr. 3 and 4)  7. Table II - Derivative Securities Acquired, Disposed of (D) (Instr. 3)  8. Price of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3 and 4)  (Instr. 3 and 4)  8. Price of Derivative Securities (Instr. 3 and 4)  7. Title and Amount of Code (Instr. 3)  8. Price of Derivative Securities (Instr. 3)  9. Number of Derivative Securities (Instr. 3)  9. Number of Derivative Securities (Instr. 3)  1. Transaction (Instr. 4)  1. Amount Or Disposed of (Derivative Securities)  1. Table II - Derivative Securities (Instr. 3)  1. Transaction (Instr. 4)	S CAPITAL, INC., 400 VENUE SUITE 310  (Street)  4. If Amendment, Date Original Filed(Month/Day/Year)  (State)  7. Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Following (Instr. 4)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  A 90 (Instr. 3, 4 and 5)  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned Following (Instr. 4)  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (A) or Disposed of, or Beneficially Owned (Instr. 4)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (A) or Disposed of, or Beneficially Owned (Instr. 3)  Amount of Securities Securities (Instr. 3)  Amount of Securities Securities (Instr. 3)  Amount of Securities Securities Securities Securities or Information (Instr. 4)  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (Execution Date, if Transaction (Instr. 8)  Transaction (Instr. 8)  Amount of Securities Securities (Instr. 5)  Amount of Securities Securities (Instr. 5)  Derivative Derivative Securities (Instr. 5)  Code (V) Amount of (D) (Instr. 3)  Amount of Securities Securities (Instr. 5)  Derivative Securities (Instr. 5)  Amount of Securities Securities (Instr. 5)  Derivative Derivative Securities (Instr. 4)  Derivative Derivative Securities (Instr. 4)  Derivative Derivative Securities (Instr. 4)  Derivative Derivative Securit

	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
Ho Doreen Woo C/O HERCULES CAPITAL, INC. 400 HAMILTON AVENUE SUITE 310 PALO ALTO, CA 94301	X				

# **Signatures**

/s/ /Melanie Grace, Attorney-in-Fact for Doreen Woo Ho	03/13/2019
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)
- (1) Shares acquired through the Hercules Capital, Inc. Dividend Reinvestment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.