## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

es)													
1. Name and Address of Reporting Person* BADAVAS ROBERT P			2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]					:	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner				
(Last) (First) (Middle) C/O HERCULES CAPITAL, INC., 400 HAMILTON AVENUE SUITE 310			3. Date of Earliest Transaction (Month/Day/Year) 08/19/2019						Office	r (give title belo	ow)	Other (specify b	elow)
(Street) PALO ALTO, CA 94301			4. If Amendment, Date Original Filed(Month/Day/Year)				-	6. Individual or Joint/Group Filing(Check Applicable Line)  Form filed by One Reporting Person Form filed by More than One Reporting Person					
(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1	2. Transaction Date (Month/Day/Year)	any	, if (	Code (Instr. 8)				of (D)	(D) Beneficially O Reported Trans		Following (s)	Ownership Form:	Beneficial
		(Month/Day/Ye	ear)	Code	V	Amoun	(A) or (D)	Price	(Instr. 3 a	Instr. 3 and 4)		or Indirect (I)	Ownership (Instr. 4)
(	08/19/2019			A		4,149 (1)	A S	§ 12.74	159,703	}		D	
				s Acquir	the fo	orm dis	splays a of, or Ben	currer eficiall	itly valid	OMB con			
Date (Month/Day/Year)	3A. Deemed Execution Da	te, if 4. Transaction	5. Non Of Do See Ad (A Di of (In	fumber f berivative ecurities acquired A) or bisposed f (D) nstr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Ti Amo Unde Secu	ount of erlying rities	Security (Instr. 5)	Derivative Securities Beneficially Owned Following Reported	Ownersh Form of Derivating Security Direct (I or Indire	Beneficia Ownershi (Instr. 4)	
					Date		Expiration Date	n Title	or Number				
	ERT P  (First) CAPITAL, IN ENUE SUITE (Street)  94301 (State)  separate line for  3. Transaction Date (Month/Day/Y	ERT P  (First) (Middle) CAPITAL, INC., 400 ENUE SUITE 310  (Street)  94301  (State) (Zip)  2. Transaction Date (Month/Day/Year)  08/19/2019  separate line for each class of securing the companion of the compani	ERT P  Hercules Ca  (First) (Middle) CAPITAL, INC., 400 ENUE SUITE 310  (Street)  2. Transaction Date (Month/Day/Year)  08/19/2019  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  3. Date of Earli 08/19/2019  2. Transaction Date (Month/Day/Year)  3. Transaction Date (e.g., puts, calls, any (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  4. If Amendme  Execution Date any (Month/Day/Year)  4. If Amendme  Execution Date any (Month/Day/Year)  4. If Amendme  Execution Date any (Month/Day/Year)	ERT P  (First) (Middle) CAPITAL, INC., 400 ENUE SUITE 310  (Street) 4. If Amendment, E  2. Transaction Date (Month/Day/Year)  08/19/2019  Separate line for each class of securities beneficially own to be a compared by the	Hercules Capital, Inc. [1]  (First) (Middle) CAPITAL, INC., 400 ENUE SUITE 310  (Street) 4. If Amendment, Date Origing 194301  (State) 2. Transaction Date (Month/Day/Year)  3. Transaction Date (Instr. 8)  Code  Table II - Derivative Securities Acquire (e.g., puts, calls, warrants, op (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  (Month/Day/Year)  3. Transaction Date (Instr. 8)  Transaction Date (Instr. 8)	Hercules Capital, Inc. [HTG  (First) (Middle) CAPITAL, INC., 400 (Street)  94301  (Street)  2. Transaction Date (Month/Day/Year)  3. 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[HTGC]  (First) (Middle) (OR/19/2019  (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (OR/19/2019  (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (OR/19/2019  (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of (D) (Instr. 3) (A) or Date (A) or Disposed of (D) (Instr. 3) (Month/Day/Year) (A) or Date (C.g., puts, calls, warrants, options, convertible securities)  (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Instr. 3) (Month/Day/Year) (Instr. 3) (Instr. 3) (Instr. 3) (Month/Day/Year) (Instr. 3) (Instr.	Hercules Capital, Inc. [HTGC]  (First) (Middle) CAPITAL, INC., 400 (Street) (Street)  2. 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	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
BADAVAS ROBERT P C/O HERCULES CAPITAL, INC. 400 HAMILTON AVENUE SUITE 310 PALO ALTO, CA 94301	X				

# **Signatures**

/s//Melanie Grace, Attorney-in-Fact for Rob	ert Badavas	08/20/2019
**Signature of Reporting Person		Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)
- (1) Shares acquired through the Hercules Capital, Inc. Dividend Reinvestment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.