## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

s)		1												
1. Name and Address of Reporting Person* Foster Carol L			2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner				
(Last) (First) (Middle) C/O HERCULES CAPITAL, INC., 400 HAMILTON AVENUE SUITE 310			3. Date of Earliest Transaction (Month/Day/Year) 08/19/2019					-	Office	r (give title belo	ow)	Other (specify	pelow)	
PALO ALTO, CA 94301			4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line)  X_Form filed by One Reporting Person  Form filed by More than One Reporting Person					
(State)	(Zip)		Ta	ble I -	Non-	-Deri	vative S	Securities	Acqui	red, Disp	osed of, or l	Beneficially	Owned	
Dat	te onth/Day/Year)	2A. Deemed Execution Date, is		f Code (Instr. 8)		(	on 4. Securities Acquire		of (D)	Beneficia Reported	lly Owned Following Transaction(s)		Ownership Form:	Beneficial
		(Month/Day/Y	Year)		le	V	Amount	(A) or (D)	Price	(Instr. 3 a	and 4)		Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
08	/19/2019			A		9	94 (1)	1 1	*	3,626			D	
					t uired	he fo	orm dis	splays a of, or Ben	curren eficiall	itly valid	OMB con			
sion Date Execucise (Month/Day/Year) any	3A. Deemed Execution Da	d 4. Transact Code		5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Tit Amo Unde Secur	ount of erlying rities	Security (Instr. 5)	Derivative Securities Beneficially Owned Following Reported	Ownershi Form of Derivativ Security: Direct (D or Indirect	Beneficia Ownershi (Instr. 4)	
										or				
	(First) CAPITAL, INC NUE SUITE 3 (Street)  94301 (State)  2. 1 Dat (Me)  3. Transaction Date	(First) (Middle) CAPITAL, INC., 400 NUE SUITE 310 (Street)  94301 (State) (Zip)  2. Transaction Date (Month/Day/Year)  08/19/2019  Table II -  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)	FReporting Person*  2. Issuer Na Hercules C Hercules C 2APITAL, INC., 400  NUE SUITE 310  (Street)  2. Transaction Date (Month/Day/Year)  2. Transaction Date Execution Date (Month/Day/Year)  08/19/2019  Table II - Derivative Sec (e.g., puts, call (Month/Day/Year)  3. Transaction Date (Execution Date (Execution Date (Inc.))  Table II - Derivative Sec (e.g., puts, call (Month/Day/Year)  3. Transaction Date (Execution Date, if Transac Code	EReporting Person*  2. Issuer Name a Hercules Capit  (First) (Middle) (SAPITAL, INC., 400 NUE SUITE 310  (Street) 4. If Amendment,  94301  (State) (Zip) Ta  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  Table II - Derivative Securities beneficially over the case of securities beneficially over t	## Code (Instr. 2)    Code (Instr. 2)   Code (Instr. 3)   Code (Instr. 4)   Code (Instr. 3)   Code (Instr. 4)   Code (Instr. 4)   Code (Instr. 4)   Code (Instr. 5)   Code (Instr. 6)   Code (Instr. 8)   Code (In	2. Issuer Name and Ticker of Hercules Capital, Inc. [First) (Middle) (SAPITAL, INC., 400 NUE SUITE 310  (Street) 4. If Amendment, Date Origin (State) (Zip) Table I - Non-Date (Month/Day/Year) (Month/Day/Year)  2. Transaction Date (Month/Day/Year) (Month/Day/Year) (April 194301 (State) (Zip) Table I - Non-Date (Month/Day/Year) (Month/Day/Year) (April 194301 (State) (Zip) Table I - Non-Date (Month/Day/Year) (Month/Day/Year) (April 194301 (State) (Zip) Table I - Non-Date (Month/Day/Year) (April 194301 (State) (Zip) Table I - Non-Date (Month/Day/Year) (Month/Day/Year) (April 194301 (State) (April 194301 (Apri	2. Issuer Name and Ticker or Trace Hercules Capital, Inc. [HTGG O8/19/2019]  3. Date of Earliest Transaction (Mo 08/19/2019]  4. If Amendment, Date Original File of Code (Instr. 8)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  4. If Amendment, Date Original File of Code (Instr. 8)  Code V  08/19/2019  A  Persecution Date, if Code (Instr. 8)  Code V  A  Table II - Derivative Securities Acquired, Discovering and Execution Date (E.g., puts, calls, warrants, options, and Execution Date (Month/Day/Year)  3. Transaction Date (E.g., puts, calls, warrants, options, and Execution Date, if Ocode (Instr. 8)  (Month/Day/Year)  3. Transaction Date (E.g., puts, calls, warrants, options, and Execution Date, if Ocode (Instr. 8)  (Month/Day/Year)  3. Transaction Date (E.g., puts, calls, warrants, options, and Execution Date, if Ocode (Instr. 8)  (Month/Day/Year)  3. Transaction Date (Instr. 8)  Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, or Disposed of (D) (Instr. 3)	Code   V Amount   Code   Ce.g., puts, calls, warrants, options, conversional   Code   Ce.g., puts, calls, warrants, options, conversional   Code   Code   Code   Code   Code   Code   Ce.g., puts, calls, warrants, options, conversional   Code   Code   Code   Code   Ce.g., puts, calls, warrants, options, conversional   Code   Co	2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]	2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]	2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]  (First) (Middle) (APITAL, INC., 400 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (Strate) 2. Transaction Date (Month/Day/Year)  (Month/Day/Year) (Month/Day/Year)  2. Transaction Date (Month/Day/Year) (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (A) or Original Filed(Month/Day/Year)  (Instr. 8) (Instr. 3, 4 and 5)  (Instr. 3 a despiration or required (A) or Disposed of (D) (Instr. 3 a despiration or required (Instr. 3 a despiration)  (Reported (A) or Disposed of (D) (Instr. 3 a despiration)  (Instr. 3 a despira	2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]   S. Relationship of Reg (Chercites)   S. Relationship of Reg (Chercites)   S. Applicator (Cher	Code   V   Amount   Code   V   Amount   Code   V   Amount   Code   V   Amount   Contained in this form are not required to respond unle the form displays a currentity valid OMB control numbe    Code   Date   Da	Reporting Person —

	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
Foster Carol L C/O HERCULES CAPITAL, INC. 400 HAMILTON AVENUE SUITE 310 PALO ALTO, CA 94301	X				

# **Signatures**

/s/ /Melanie Grace, Attorney-in-Fact for Carol L. Foster	08/20/2019
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)
- (1) Shares acquired through the Hercules Capital, Inc. Dividend Reinvestment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.