#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL						
OMB Number:	3235-0287					
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nours per response	e 0.5					

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

pe Response	s)													
1. Name and Address of Reporting Person* Koenig Bradford C.				2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
) MILTON A	(First) AVENUE SU	(Middle) JITE 310	3. Date of Earliest Transaction (Month/Day/Year) 11/06/2020					Office	r (give title belo	w)(	Other (specify be	low)		
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line)  _X_ Form filed by One Reporting Person _Form filed by More than One Reporting Person				
) (CA)	(State)	(Zip)		Table	I - Non	ı-Derivat	ive Se	curities	Acqui	ired, Dispe	osed of, or I	Beneficially (	Owned	
(Instr. 3) Date			2A. Deemed Execution Date, if any		3. Transaction Code (Instr. 8)				uired of	ed 5. Amount of Securities		es ollowing (s)	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	V A	mount	or	Price				(I)	(111311. 4)
Stock		11/06/2020			A					19,745			D	
					Acquire	containe the forn	ed in n disp sed of	this for lays a	m are currer eficiall	not requesting ntly valid	ired to res	spond unles	ss	474 (9-02)
	Date	3A. Deemed Execution Date (Year)	4. Transactic Code (Instr. 8)	5. Nur of Der Sec Acq (A) Disj of ((Ins	mber ivative urities quired or posed D) itr. 3, nd 5)	6. Date I and Exp (Month/	Exercisiration Day/Y	sable Date ear)	7. Ti Amo Undo Secu (Inst 4)	ount of erlying urities ar. 3 and		Derivative Securities Beneficially Owned Following Reported	Ownersh Form of Derivativ Security: Direct (I or Indire (s) (I)	Beneficia Ownershi (Instr. 4)
	d Address of Bradford C Bradford C MILTON A LTO, CA  Conversion or Exercise Price of Derivative	Stock  Conversion or Exercise Price of Derivative  (First)  (State)  (Street)  (Street)  (State)  (State)  (State)  (State)  (State)  (State)  (Month/Day/Y	d Address of Reporting Person Paradford C.  (First) (Middle)  MILTON AVENUE SUITE 310  (Street)  LTO, CA 94301  (State) (Zip)  ecurity 2. Transaction Date (Month/Day/Year)  Stock 11/06/2020  Report on a separate line for each class of secur  Table II - I  (Conversion or Exercise Price of Derivative (Month/Day/Year)  A. Deemed Execution Data any (Month/Day/Year)	d Address of Reporting Person—  Bradford C.  (First) (Middle)  MILTON AVENUE SUITE 310  (Street)  LTO, CA 94301  (State)  2. Transaction Date (Month/Day/Year)  Stock  11/06/2020  Report on a separate line for each class of securities beneficially  Table II - Derivative Security  2. Transaction Date (Month/Day/Year)  Stock  11/06/2020  Table II - Derivative Securities beneficially  Table II - Derivative Securities beneficially  (Month/Day/Year)  A. Deemed Execution Date (e.g., puts, calls, Transaction Or Exercise Price of Derivative Security  (Month/Day/Year)  (Month/Day/Year)	d Address of Reporting Person*  bradford C.  (First) (Middle) MILTON AVENUE SUITE 310  (Street)  (Street)  2. Issuer Name and Hercules Capital, 3. Date of Earliest Tra 11/06/2020  4. If Amendment, Dat  LTO, CA 94301  2. Transaction Date (Month/Day/Year)  Stock  11/06/2020  Report on a separate line for each class of securities beneficially owners  2. Table II - Derivative Securities Accega, puts, calls, warra  2. Table II - Derivative Securities Accega, puts, calls, warra  2. Table II - Derivative Securities Accega, puts, calls, warra  (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  Accega, puts, calls, warra  (Month/Day/Year)  (Month/Day/Year)  (Instr. 8)  Derivative Securities Accega, puts, calls, warra  (Instr. 8)  Derivative Securities Accega, puts, calls, puts, c	d Address of Reporting Person* Gradford C.  (First) (Middle) (MILTON AVENUE SUITE 310  (Street)  LTO, CA 94301  (State)  (Zip)  (Zip)  (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Code  Table II - Derivative Securities Acquired (A.) or Disposed of (D) (Instr. 3, 4, and 5)  (Instr. 3)  (Instr. 8)  2. Issuer Name and Ticker of Hercules Capital, Inc. [I]  3. Date of Earliest Transaction 11/06/2020  4. If Amendment, Date Original Securition Date, if Instr. 8)  (Code  Table II - Derivative Securities Acquired (A.) or Disposed of (D.) (Instr. 3, 4, and 5)	d Address of Reporting Person are and Ticker or Trading Hercules Capital, Inc. [HTGC]  (First) (Middle) (MILTON AVENUE SUITE 310 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 4. If Amendment, Date Original Filed(Month/Day/Year) 5. Table I - Non-Derivative Securities Date (Month/Day/Year) 4. If Amendment, Date Original Filed(Month/Day/Year) 5. Table I - Non-Derivative Securities Deneficially owned directly or indicate (Month/Day/Year) 6. Date (E.g., puts, calls, warrants, options, core contains the form of Date (Month/Day/Year) (Month/Day/Year) 6. Date (Mon	Code   V Amount   Address of Report on a separate line for each class of securities beneficially owned directly or indirectly.	d Address of Reporting Person 2 tradford C.  (First) (Middle) (Middle) (Middle) (Mittro AVENUE SUITE 310 (Mittro AVENUE Suite) (Mittro AVENUE Sui	d Address of Reporting Person - Gradford C.  Description (First) (Middle) (Middle) (Middle) (MiltTON AVENUE SUITE 310 (Street) (Street) (Street) (Street) (Street) (An or Date (Month/Day/Year) (An or Date (Month/Day/Year) (Month	2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]   X_ Direct Office Offic	2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]   5. Relationship of Repardford C.   (Che value)   (	Address of Reporting Person   Fradford C.   Saver Name and Ticker or Trading Symbol   Hercules Capital, Inc. [HTGC]   Simple   Hercules Capital, Inc. [HTGC]   Hercules Capital, Inc. [HTGC]   Meddle   11/06/2020   Simple   Hercules Capital, Inc. [HTGC]   Meddle   11/06/2020   Simple   Hercules Capital, Inc. [HTGC]   Meddle   11/06/2020   Simple   Hercules Capital, Inc. [HTGC]   Meddle   Meddle	2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]   Support of the Community of th

## **Reporting Owners**

	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Koenig Bradford C. 400 HAMILTON AVENUE SUITE 310 PALO ALTO, CA 94301	X						

## **Signatures**

/s//Melanie Grace, Attorney-in-Fact for Bradford C. Koenig	11/10/2020
Signature of Reporting Person	Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued based on reporting person's election to receive stock in lieu of cash compensation fee otherwise due to reporting person as a director of the Issuer.
- (2) Held in The Koenig Family 1994 Trust

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.