FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name a Hercules Capit 3. Date of Earliest 04/17/2021 4. If Amendment, Ta 2A. Deemed Execution Date, if any (Month/Day/Year)	al, Inc. [I Transaction Date Origin ble I - Non Code (Instr. 8)	HTGO on (Mo nal Fil	ed(Month/lay/ evative So 4. Securi (A) or D (D)	Year) Day/Year)	Acqui	6. Individu X Form file Form file 5. Amount Beneficial Reported	al or Joint/C d by One Repo d by More than sed of, or E of Securitie by Owned Fe Gransaction(Group Filing(Corting Person One Reporting Person One Person One Reporting Person One Reporting Person One Per	ble))% Owner ther (specify b fficer Check Applicate erson Dwned 5. Dwnership	ole Line) 7. Nature
04/17/2021 4. If Amendment, Ta 2A. Deemed Execution Date, if any	Date Origi ble I - Noi 3. Transa Code (Instr. 8) Code	nal Fil	ed(Month/l	Day/Year) ecurities Acquisposed on 4 and 5)	Acqui	6. Individu X_ Form file Form file red, Dispo 5. Amount Beneficial Reported	Chief al or Joint/C d by One Repo d by More than sed of, or E of Securitie by Owned Foransaction(Group Filing(Criting Person One Reporting Person One Report Person One Repse Person One Report Person One Report Person One Report Person	Theck Applicaterson Dwned Ownership	ole Line) 7. Nature
ZA. Deemed Execution Date, if any	3. Transa Code (Instr. 8)	n-Deri	4. Securi (A) or D (D) (Instr. 3,	ecurities Acquisposed on 4 and 5)	Acqui	X_Form file Form file red, Dispo 5. Amount Beneficial Reported	d by One Repo d by More than sed of, or E of Securitie by Owned Foransaction(One Reporting Posensially Costs of Collowing	owned Ownership	7. Nature
2A. Deemed Execution Date, if	3. Transa Code (Instr. 8)	ection	4. Securi (A) or D (D) (Instr. 3,	ities Acqu pisposed of , 4 and 5)	ired f	5. Amount Beneficial Reported	of Securities by Owned Foransaction(es ollowing (o. Ownership	
Execution Date, if any	Code (Instr. 8)		(A) or D (D) (Instr. 3,	oisposed of 4 and 5)	f	Beneficial Reported	ly Owned Foransaction(ollowing	Ownership	
		V	Amount	(A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)				of Indirect Beneficial Ownership
	F			(D) I	Price			(I) Instr. 4)	(Instr. 4)
_			2,843 (1)	11)	\$ 17	909,822]	0	
				*		ly Owned				
(e.g., puts, calls, wa med 4. n Date, if Transaction Code Day/Year) (Instr. 8)	(e.g., puts, calls, warrants, optical descriptions) 4.		ions, convertible securitie 6. Date Exercisable and Expiration Date (Month/Day/Year) Client Securities 7. A U U U Securities		7. Ti Amo Unde Secu	tle and ount of erlying crities	Derivative	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownersl Form of Derivati Security Direct (I or Indirects) (I)	Benefic Owners (Instr. 4
Code V	(A) (D)	Date Exerc			Title	or				
1	(e.g., puts, calls, wa med 4. In Date, if Transaction Code Day/Year) (Instr. 8)	II - Derivative Securities Acquire (e.g., puts, calls, warrants, opmed 4. 5. Number of Day/Year) (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	II - Derivative Securities Acquired, Discovery (e.g., puts, calls, warrants, options, med 4. 5. Number Code of Day/Year) (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Date Exerc	II - Derivative Securities Acquired, Disposed of (e.g., puts, calls, warrants, options, converting the department of the	II - Derivative Securities Acquired, Disposed of, or Bene (e.g., puts, calls, warrants, options, convertible secur med In Date, if Transaction Code Oray/Year) Day/Year) Oay/Year) Oay/Year) Observative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Date Expiration Date (Month/Day/Year) Date Expiration Date (Expiration Date (Month/Day/Year))	II - Derivative Securities Acquired, Disposed of, or Beneficiality (e.g., puts, calls, warrants, options, convertible securities) med in Date, if Transaction Code Of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Date Expiration Date (Instr. 4) Date Expiration Date Expiration Date (Instr. 3, 4, and 5) Date Expiration Date Expiration Date (Instr. 3) Title	II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) med n Date, if Transaction Code Oay/Year) Oay/Year) In Date Date Exercisable Expiration Date Amount of Underlying Securities (Instr. 3 and 4) Amount or Number Or Number Of Number	II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) med n Date, if Code Day/Year) Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Date Exercisable Expiration Date Expiration Date Title Amount or Number of Number	II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) med n Date, if Code Day/Year) Instr. 8) Derivative Securities Acquired (A) or Disposed (A) or Disposed of (D) (Instr. 3, 4, and 5) Date Exercisable Date Exercisable Expiration Date Expiration Date Title Amount Or Number	(e.g., puts, calls, warrants, options, convertible securities) med in Date, if Transaction Code Day/Year) Da

		Relationships					
Reporting Owner Name / Address	r Name / Address Director 10% Own		Officer	Other			
Bluestein Scott C/O HERCULES CAPITAL, INC 31 ST. JAMES AVENUE, SUITE BOSTON, MA 02116			Chief Executive Officer				

Signatures

	/s//Melanie Grace, Attorney-in-Fact for Scott Bluestein	04/20/2021
**Signature of Reporting Person		Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)
- (1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on April 17, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.