## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Print or Ty	pe Responses	s)														
1. Name and Address of Reporting Person* Meyer Seth H					2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner				
(Last) (First) (Middle) C/O HERCULES CAPITAL, INC., 400 HAMILTON AVENUE SUITE 310					3. Date of Earliest Transaction (Month/Day/Year) 06/14/2021						X Officer (give title below) Other (specify below)  Chief Financial Officer					
(Street) PALO ALTO, CA 94301				4. 1	4. If Amendment, Date Original Filed(Month/Day/Year)							6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting PersonForm filed by More than One Reporting Person				
(City) (State) (Zip)					Table I - Non-Derivative Securities Acqui							ired, Disposed of, or Beneficially Owned				
(Instr. 3)			2. Transaction Date (Month/Day/Y	/Year) Exe	Deemed ecution Date, if y onth/Day/Year)	Code (Instr. 8)		4. Securities Acqui (A) or Disposed of (Instr. 3, 4 and 5)			Beneficia Reported	nt of Securities ally Owned Following Transaction(s)		Form:	7. Nature of Indirect Beneficial	
				(M		Code	V	Amoun	(A) or (D)	Price	(Instr. 3 a	anu 4)		Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
Common Stock 06/14/202			1		F		1,477 (1)	D	\$ 17.41	169,389	1		D			
			Tab			ies Acquiro arrants, op	ed, D	isposed	of, or Be	neficial	•	OWID COM	. Or mumbe			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. De Execut Year) any	eemed ion Date, it	, puts, calls, wa	ies Acquire arrants, op 5. Number of Derivative Securities Acquired	umber and Expiration D (Month/Day/Yea ecurities cquired			neficial urities) 7. Takend Und Secu	ntly valid	OMB cont	ontrol number of 9. Number ive Derivative Securities Beneficiall Owned Following	of 10. Ownersl Form of Derivati Security Direct (1	Ownership (Instr. 4)	
						(A) or Disposed of (D) (Instr. 3, 4, and 5)							Reported Transaction(s (Instr. 4)	or Indire (I) (Instr. 4)		
					Code V	(A) (D)	Date Exe	e rcisable	Expiration Date	On Title	Amount or Number of Shares					
Repor	ting O	wners														
Paparting Owner Name / Address					Relationships											
Reporting Owner Name / Address Direct			Director	or 10% Owner Officer			Oth	ier								

Chief Financial Officer

### **Signatures**

PALO ALTO, CA 94301

Meyer Seth H

/s//Melanie Grace, Attorney-in-Fact for Seth H. Meyer	06/15/2021		
**Signature of Reporting Person	Date		

## **Explanation of Responses:**

C/O HERCULES CAPITAL, INC.

400 HAMILTON AVENUE SUITE 310

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)
- (1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on June 14, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.