## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	HTG n (Me	onth/Day/iled(Month/	Year)  Day/Year)		Director X Office  6. Individu  X Form file	(Che	ow) Cral Counsel &	uble) 0% Owner 0ther (specify b	elow)
Date Origin  ble I - Nor  3. Transac Code	nal Fi n-Der	iled(Month/	Day/Year)		6. Individu _X_ Form file	General Genera	ral Counsel & Group Filing(orting Person	CCO	
ble I - Nor 3. Transac Code	ı-Der	rivative S			_X_ Form file	d by One Repo	orting Person	Check Applicab	le Line)
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3. Transac Code		1	ecurities						
Code	ction	4 Securi		s Acqui	ired, Dispo	sed of, or I	Beneficially (	Owned	
	Code		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		D) Beneficially Owned Following Reported Transaction(s)		Ownership of Form:	7. Nature of Indirect Beneficial	
Code	V	Amount	(A) or (D)	Price	(Instr. 3 a			Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
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es Acquire	ed, Di	isposed o	f, or Ber	neficial					
calls, warrants, options, convertible securities  5. 6. Date Exercisable and Expiration Date (Month/Day/Year)  Convertible securities  7. B. Derivative Securities		7. Ti Amo Und Secu	Title and nount of derlying curities str. 3 and Str. 2 and Str. 3 and 3 and Str. 3 and S		Derivative Securities Beneficially Owned Following Reported Transaction	Ownership Form of Derivative Security: Direct (D) or Indirect			
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5 N C E C C 4	res Acquires rrants, op 5. Number of Derivative Securities Acquired A) or Disposed of (D) Instr. 3, 4, and 5)	res Acquired, Derrants, options Securities Acquired A) or Disposed of (D) Instr. 3, 4, and 5) Date Exe  (A) (D)  Date Exe	Persons who contained in the form dispersion of the form of the fo	F 271 (1) D  Trend directly or indirectly.  Persons who respons to the form displays a set of the form	F 271 (1) D \$ 17.25  Trend directly or indirectly.  Persons who respond to a contained in this form are the form displays a current the form d	F 271 (1) D \$ 17.25 65,237  Trend directly or indirectly.  Persons who respond to the collect contained in this form are not required the form displays a currently valid estable and Expiration Date (Month/Day/Year)  6. Date Exercisable and Expiration Date (Month/Day/Year)  6. Date Exercisable and Expiration Date (Month/Day/Year)  7. Title and Amount of Underlying Securities (Instr. 3 and 4)  Date Expiration Date (Instr. 3, 4, and 5)  Date Expiration Date (Mounth/Day/Year)  Date Expiration Date (Instr. 3)  Amount or Number of Or Number of	Persons who respond to the collection of inf contained in this form are not required to rest the form displays a currently valid OMB contents, options, convertible securities)  Solumber of (Month/Day/Year)  6. Date Exercisable and Expiration Date (Month/Day/Year)  6. Date Exercisable (Month/Day/Year)  7. Title and Amount of Derivative Securities (Instr. 3 and 4)  8. Price of Derivative Securities (Instr. 3 and 4)  8. Price of Derivative Securities (Instr. 3 and 4)  8. Price of Derivative Securities (Instr. 3 and 4)  8. Price of Derivative Securities (Instr. 3 and 4)  8. Price of Derivative Securities (Instr. 3 and 4)  8. Price of Derivative Securities (Instr. 3 and 4)  8. Price of Derivative Securities (Instr. 3 and 4)  8. Price of Derivative Securities (Instr. 3 and 4)  8. Price of Derivative Securities (Instr. 3 and 4)	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number es Acquired, Disposed of, or Beneficially Owned rrants, options, convertible securities)  Solumber of Oberivative Gecurities  Acquired A) or Disposed of for Beneficially Owned (Month/Day/Year)  Date Exercisable Expiration Date (Instr. 3 and 4)  Date Expiration Date (Instr. 3)  A, and 5)  Date Expiration Date (Instr. 4)  Amount or Number of Number o	F 271 (1) D \$\frac{1}{3}\] 17.25 65,237 D  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  Persons who respond to the collection of information contained in this form are not required to respond unless the following securities (Instr. 5)  Perivative Securities (Instr. 5)  Per

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Grace Melanie C/O HERCULES CAPITAL INC. 400 HAMILTON AVENUE SUITE 310 PALO ALTO, CA 94301			General Counsel & CCO			

### **Signatures**

/s/ /Melanie Grace	07/14/2021
**Signature of Reporting Person	Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)
- (1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on July 13, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.