## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Response	s)											
1. Name and Address of Meyer Seth H	2. Issuer Name and Hercules Capita			0 ,	bol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner						
C/O HERCULES OF HAMILTON AVE	3. Date of Earliest 07/12/2022	Transaction	n (Mo	onth/Day/	Year)	X_ Officer (give title below) Other (specify below) Chief Financial Officer						
PALO ALTO, CA	(Street) 94301		4. If Amendment, 1	Date Origir	nal Fi	led(Month/I	Day/Year)	)	6. Individual or Joint/Group Filing  _X_Form filed by One Reporting Person  _Form filed by More than One Reporting		ole Line)	
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	7		Execution Date, if	Code		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Ownership of Form:	7. Nature of Indirect Beneficial Ownership	
			(monut buy, rear)	Code	V	Amount	(A) or (D)	Price	(msu. 5 and 1)	or Indirect (I) (Instr. 4)		
Common Stock		07/12/2022		F		3,083 ( <u>1</u> ).	D	\$ 13.77	210,992	D		
Common Stock		07/13/2022		F		3,125 ( <u>2</u> ).	D	\$ 13.78	207,867	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conversion		3A. Deemed Execution Date, if	4. Transacti	on	5.		6. Date Exer				8. Price of Derivative	9. Number of	10. Ownership	11. Nature
		(Month/Day/Year)	· · · · · · · · · · · · · · · · · · ·	Code		of		(Month/Day			rlying				Beneficial
,	Price of		(Month/Day/Year)			Deriv		`		Secui	, ,			Derivative	
` ′	Derivative		` ,			Secur	rities			(Instr	. 3 and		Owned	Security:	(Instr. 4)
	Security					Acqu	ired			4)			Following	Direct (D)	
						(A) o	r						Reported	or Indirect	
						Dispo							Transaction(s)	(I)	
						of (D	·						(Instr. 4)	(Instr. 4)	
						(Instr									
						4, and	15)								
											Amount				
								Date	Expiration		or				
								Exercisable		Title	Number				
								Lacicisable	Date		of				
				Code	V	(A)	(D)				Shares				

### **Reporting Owners**

Panauting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Meyer Seth H C/O HERCULES CAPITAL, INC. 400 HAMILTON AVENUE SUITE 310 PALO ALTO, CA 94301			Chief Financial Officer					

#### **Signatures**

/s//Eileen Bagarella, Attorney-in-Fact for Seth H. Meyer

07/14/2022

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on July 12, 2022.
- (2) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on July 13, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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