UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* BADAVAS ROBERT P			2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) (Middle) C/O HERCULES CAPITAL, INC., 400 HAMILTON AVENUE SUITE 310				3. Date of Earliest Transaction (Month/Day/Year) 08/21/2017						Office	r (give title belo	ow)	Other (specify	below)
PALO ALTO, CA 94301				4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person				
(State)	(Zip)		T	able I	- Non	-Deri	vative S	Securities	Acquir	red, Dispe	osed of, or l	Beneficially	Owned	
Date	:	Execution any	A. Deemed xecution Date, if	3. Transacti Code (Instr. 8)			tion 4. Securities Acqui		of (D)	Beneficia Reported	at of Securities Ily Owned Following		6. Ownership Form:	Beneficial
		(Month/	Day/Year		ode	V	Amoun	(A) or (D)	Price	(Instr. 3 a			Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
08/2	21/2017				A		-	Δ	S 12 92	128,565			D	
					cquire	the fo	orm dis	splays a of, or Ben	curren eficiall	itly valid	OMB con			
on Date E se (Month/Day/Year) ar	3A. Deemed Execution Date, if	te, if Tra	4. Transaction Code (Instr. 8)		5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Tit Amo Unde Secur	unt of erlying rities	Security (Instr. 5)	Derivative Securities Beneficially Owned Following Reported	Owners Form of Derivat Security Direct (or Indirects)	Beneficia Ownersh (Instr. 4)
						Date		Expiration		or				
	TP (First) PITAL, INC., PITAL, INC., UE SUITE 310 Street) 301 (State) 2. Tr Date (Mo:	Transaction ate Month/Day/Year) (Middle) (Zip) 2. Transaction Date (Month/Day/Year) 301 (Zip) 2. Transaction Date (Month/Day/Year) 34. Deemed Execution Date (Execution Date (Executio	Table II - Derivative (e.g., puts Month/Day/Year) Transaction ate Month/Day/Year) Transaction ate Month/Day/Year) Transaction ate Month/Day/Year) Transaction ate Month/Day/Year) A. Date (Middle) 3. Date (08/21/2017 4. If An 2A. Dee Execution any (Month/Day/Year) Table II - Derivative (e.g., puts Month/Day/Year) Transaction ate Execution Date, if Transaction ate Month/Day/Year)	Hercules Capit (First) (Middle) PITAL, INC., 400 JE SUITE 310 Street) 2. Transaction Date (Month/Day/Year) 08/21/2017 2A. Deemed Execution Date, if any (Month/Day/Year) 08/21/2017 Table II - Derivative Securit (e.g., puts, calls, we defend any (Month/Day/Year) Transaction ate Month/Day/Year) 3. Date of Earliest 08/21/2017 2. If Amendment, 2. Deemed Execution Date, if any (Month/Day/Year) Table II - Derivative Securit (e.g., puts, calls, we defend any (Month/Day/Year) Transaction ate Month/Day/Year) A. Deemed Execution Date, if any (Month/Day/Year) (Instr. 8)	First) (Middle) PITAL, INC., 400 JE SUITE 310 Street) 2. Transaction Date (Month/Day/Year) 08/21/2017 24. If Amendment, Date 25. Transaction Date (Month/Day/Year) 08/21/2017 26. Transaction Date (Month/Day/Year) 27. Transaction Date (Month/Day/Year) 28. Deemed Execution Date, if any (Month/Day/Year) 29. Transaction Execution Date, if any (Month/Day/Year) Table II - Derivative Securities Ac (e.g., puts, calls, warran are line for each class of securities beneficially owned (Instr. 8) Transaction ate (Month/Day/Year) Transaction ate (Month/Day/Year) Transaction ate (Month/Day/Year) A. If Amendment, Date Execution Date, if any (Month/Day/Year) Code (Instr. 8) Transaction Num Code of (Disponder) Code (Instr. 8) Derivative Securities Ac (e.g., puts, calls, warran any (Month/Day/Year) Code (Instr. 8) Derivative Securities Ac (e.g., puts, calls, warran any (Month/Day/Year) Code (Instr. 8)	Hercules Capital, Inc. [First)	Hercules Capital, Inc. [HTGetherstries] First) (Middle) PITAL, INC., 400 JE SUITE 310 Street) 4. If Amendment, Date Original File 2. Transaction Date (Month/Day/Year) Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) A Deemed Execution Date, if any (Month/Day/Year) A Persocontate (e.g., puts, calls, warrants, options, and te Month/Day/Year) Table II - Derivative Securities Acquired, District (Month/Day/Year) Transaction ate (Month/Day/Year) A Deemed Execution Date, if any (Month/Day/Year) Transaction ate (Month/Day/Year) A Derivative Securities Acquired, District (Month/Day/Year) A Derivative Securities Acquired, District (Month/Day/Year) A Derivative Securities Acquired (A) or Disposed of (D) (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, derivative Securities Acquired (A) or Disposed (A)	Hercules Capital, Inc. [HTGC]	Hercules Capital, Inc. [HTGC]	First) (Middle) PITAL, INC., 400 DE SUITE 310 Street) 2. Transaction Date (Month/Day/Year) Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) Date (Month/Day/Year) Date Date (Month/Day/Year) Date (Month/Day/Year) Date Date (Month/Day/Year) Date (Month/Day/	First Hercules Capital, Inc. [HTGC] X Direc Office	Hercules Capital, Inc. [HTGC] X_Director Officer (give title below 1.5 A 1 1 1 1 1 1 1 1 1	Hercules Capital, Inc. [HTGC] X_Director Officer (give title below)	Hercules Capital, Inc. [HTGC] X_Director C(heck all applicable) X_Director Officer (give title below) Other (specify)

	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
BADAVAS ROBERT P C/O HERCULES CAPITAL, INC. 400 HAMILTON AVENUE SUITE 310 PALO ALTO, CA 94301	X				

Signatures

/s/Melanie Grace, Attorney-In-Fact for Robert Badavas	08/22/2017
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)
- (1) Shares acquired through the Hercules Capital, Inc. Dividend Reinvestment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.