### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Ty	pe Response	s)														
1. Name and Address of Reporting Person* Grace Melanie					2. Issuer Name and Ticker or Trading Symbol Hercules Capital, Inc. [HTGC]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner				
(Last) (First) (Middle) C/O HERCULES CAPITAL INC., 400 HAMILTON AVENUE SUITE 310					3. Date of Earliest Transaction (Month/Day/Year) 12/17/2017						X Officer (give title below) Other (specify below)  General Counsel & CCO					
(Street) PALO ALTO, CA 94301				4. I	4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting PersonForm filed by More than One Reporting Person					
(City) (State) (Zip)					Table I - Non-Derivative Securities Acqu						ired, Disposed of, or Beneficially Owned					
1.Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Y			Exe any		Code (Instr. 8)		(A) or Disposed of (D (Instr. 3, 4 and 5)			Beneficially Owned Following Reported Transaction(s)			Ownership Form:	7. Nature of Indirect Beneficial		
				(Mo	onth/Day/Year)	Code	V	Amount	(A) or (D)	Price	Instr. 3 a	nstr. 3 and 4)			Ownership (Instr. 4)	
Common	Stock		12/17/2017			F		301 (1)	D	\$ 12.99	14,361			D		
			Table		vative Securit	ies Acquire	ed, D	isposed o	of, or Be	neficially	•					
1. Title of Derivative Security (Instr. 3)	Conversion	3. Transaction Date (Month/Day/Y	3A. Dee Execution	med on Date, if	puts, calls, wa 4. f Transaction Code (Instr. 8)	ies Acquire arrants, op 5. Number of Derivative Securities Acquired	ed, D tions 6. D and	tained ir form dis isposed o	of, or Bendible secutions Date	neficially urities)  7. Titl Amou Under Securi	Owned e and of	8. Price of	9. Number of Derivative Securities Beneficially Owned Following	of 10. Ownersl Form of Derivati Security Direct (1)	ve Ownership (Instr. 4)	
						(A) or Disposed of (D) (Instr. 3, 4, and 5)							Reported Transaction(s (Instr. 4)	or Indire (I) (Instr. 4)		
					Code V	(A) (D)	Date		Expiration Date	On Title	Amount or Number of Shares					
Repor	ting O	wners														
						Relations	ships									
Reporting Owner Name / Address					10% Officer					Oth						

# **Signatures**

PALO ALTO, CA 94301

Grace Melanie

/s/Melanie Grace	12/18/2017				
***Signature of Reporting Person	Date				

C/O HERCULES CAPITAL INC.

400 HAMILTON AVENUE SUITE 310

Director

Owner

Officer

General Counsel & Dong; CCO

Other

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)
- (1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on December 17, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.